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BRANCH NAME: Civil Complex Center			
Plaintiff	[UNDER SEAL]		
Defendant	[UNDER SEAL]		
CONFIDENTIAL COVER SHEET—FALSE CLAIMS ACTION			CASE NUMBER: 30-2019-01107066-CU-MC-CXC

Gov. Code, § 12652(c)
Cal. Rules of Court, rules 2.570-2.573
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SUPERIOR COURT OF THE STATE OF CALIFORNIA
COUNTY OF ORANGE
CIVIL COMPLEX CENTER

PEOPLE OF THE STATE OF
CALIFORNIA, *ex rel.* STATE FARM
MUTUAL AUTOMOBILE INSURANCE
COMPANY,

Plaintiff,

vs.

SONNY RUBIN, M.D.; SONNY RUBIN,
M.D., INC.; NEWPORT INSTITUTE OF
MINIMALLY INVASIVE SURGERY; and
DOES 1 through 20, inclusive,

Defendants.

Case No. 30-2019-01107066-CU-MC-CXC

**COMPLAINT FOR VIOLATION OF
INSURANCE FRAUDS PREVENTION
ACT (CALIFORNIA INSURANCE CODE
SECTION 1871.7)**

**FILED IN CAMERA AND UNDER SEAL
PURSUANT TO CALIFORNIA
INSURANCE CODE SECTION
1871.7(E)(2)**

DEMAND FOR JURY TRIAL

Assigned: Judge William Claster

Dept: CX104

1 **I. INTRODUCTION**

2 1. Plaintiff and Relator State Farm Mutual Automobile Insurance Company
3 (“Relator SFMAIC”) brings this action on behalf of the People of the State of California and in
4 its own right pursuant to the California Insurance Frauds Prevention Act (“IFPA”), Cal. Ins.
5 Code §§ 1871 *et seq.*, against Defendants Sonny Rubin, M.D. (“Dr. Rubin”), Sonny Rubin,
6 M.D., Inc. dba Orange County Pain Specialist (“Rubin Inc.”), Newport Institute of Minimally
7 Invasive Surgery dba Downey Interventional Procedural Center (“Newport Institute”), and
8 DOES 1 through 20, inclusive (collectively, “Defendants”) based upon violations of Cal. Penal
9 Code §§ 550(a)(1) and (a)(5), which resulted in Defendants knowingly preparing and
10 presenting, or causing to be presented, false and fraudulent claims and writings to Relator
11 SFMAIC and very likely other insurers.

12 2. As set forth below, from at least 2013 through the present, Defendants
13 knowingly prepared and presented, or caused to be presented, to Relator SFMAIC, and very
14 likely other insurers, false and fraudulent claims under contracts of insurance seeking payment
15 for: (a) professional medical services related to pain management procedures purportedly
16 rendered by Dr. Rubin; and (b) facility services purportedly provided by Newport Institute, an
17 ambulatory surgery center (“ASC”) owned and controlled by Dr. Rubin, related to some of the
18 same pain management procedures. As described below and reflected in the chart attached
19 hereto as Exhibit 1, the false and fraudulent claims for professional services purportedly
20 rendered by Dr. Rubin include charges for: (a) evaluation and management (“E/M”) services
21 on the same dates Dr. Rubin purportedly performed epidural steroid injections (“ESIs”), but
22 the E/M services, in fact, were not performed, and, even if they had been performed, should
23 not have been billed separately from the ESIs; (b) diagnostic procedures known as
24 myelography and epidurography, which were not performed or were not medically necessary;
25 (c) fluoroscopy, a radiological imaging technique used to guide the path and proper placement
26 of injection needles while performing ESIs that, even if performed, should not have been billed
27 separately; and (d) interpretations of magnetic resonance imaging (“MRI”) studies, which were
28 not performed. Dr. Rubin purported to perform some of these pain management procedures at
29 Newport Institute, which likewise presented, or caused to be presented, its own false and
30 fraudulent claims and writings for facility services related to the performance of the pain
31 management procedures to Relator SFMAIC. As described below and reflected in the chart
32 attached hereto as Exhibit 2, the false and fraudulent claims for facility services purportedly

provided by Newport Institute include charges for: (a) myelography, which was not performed or was not medically necessary; and (b) fluoroscopy, which even if it was performed, should not have been billed separately.

3. Defendants were motivated to prepare and present, or cause to be presented, to Relator SFMAIC the false and fraudulent claims because, in doing so, (a) Dr. Rubin and Rubin Inc. stood to collect up to an additional \$4,095 in professional fees for each procedure, comprised of \$495 for fraudulently billed E/M services, \$1,400 for fraudulently billed epidurography or myelography, \$1,700 for fraudulently billed fluoroscopy, and \$500 for fraudulently billed MRI interpretations; and (b) Newport Institute (and its owners, including Dr. Rubin) stood to collect up to an additional \$2,000 in facility fees for each procedure, comprised of \$1,150 for fraudulently billed fluoroscopy and \$850 for fraudulently billed myelography. Defendants' charges to Relator SFMAIC for all procedures and services associated with these fraudulent claims alone totaled more than \$6 million.

4. Defendants' false and fraudulent claims described herein are identified in part on the charts attached as Exhibits 1 and 2, which identify for each claim at issue: (a) the specific patients whose claims are at issue by initials and unique Relator SFMAIC claim number; (b) the procedures purportedly performed; (c) the date of service for the purported procedures or services; (d) the false billing codes included on the claims; (e) which Defendant(s) prepared and presented, or caused to be presented, the false claims for each purported procedure; and (f) the fraudulent charges for each date of service.

5. Relator SFMAIC has filed this action under the IFPA to protect the public from Defendants' scheme and from continuing violations of California Penal Code Sections 550(a)(1) and (a)(5).

II. THE PARTIES

6. Relator SFMAIC is a corporation organized under the laws of the State of Illinois, with its principal place of business in Bloomington, Illinois. At all relevant times, Relator SFMAIC was an insurance company licensed to conduct business and issue policies of automobile insurance to policyholders in the State of California.

7. Relator SFMAIC brings this action pursuant to California Insurance Code Section 1871.7(e)(1), which provides that "[a]ny interested persons, including an insurer, may bring a civil action for a violation of this section for the person and for the State of California." Relator SFMAIC is an "interested person" under California Insurance Code

1 Section 1871.7(e)(1) because it is an insurer to which Defendants knowingly prepared and
2 presented, or caused to be presented, false and fraudulent claims containing the
3 misrepresentations described herein. Relator SFMAIC uncovered the activities described
4 herein and has direct and personal knowledge thereof.

5 8. Defendant Sonny Rubin, M.D. resides in and is a citizen of California. At all
6 relevant times, Dr. Rubin has been a licensed physician, and purportedly performed the E/M
7 services, myelograms, epidurograms, fluoroscopy, and MRI interpretations for all the false and
8 fraudulent claims billed by himself or his professional corporation, Rubin Inc., which are
9 reflected on Exhibit 1. As detailed below, Dr. Rubin also owned and controlled Newport
10 Institute and served as the medical director for Newport Institute, which falsely and
11 fraudulently purported to provide facility services related to some of Dr. Rubin's pain
12 management procedures, as reflected on Exhibit 2. Dr. Rubin knowingly prepared and
13 presented, or caused to be presented, to Relator SFMAIC all the false and fraudulent claims
14 reflected on Exhibits 1 and 2.

15 9. Defendant Sonny Rubin, M.D., Inc. is a corporation organized under the laws of
16 the State of California with its principal place of business at 455 Old Newport Boulevard,
17 Suite 101, Newport Beach, California 92663. Rubin Inc. is Dr. Rubin's professional medical
18 corporation through which he bills for professional services he performs or purportedly
19 performs. According to publicly available records filed with the California Secretary of State,
20 Dr. Rubin is the sole owner, director, and officer of Rubin Inc. Rubin Inc. and/or Dr. Rubin
21 also operate(s) under the fictitious name and do(es) business as Orange County Pain Specialist.
22 According to publicly available records filed with the Orange County Clerk Recorder, Rubin
23 Inc. is the registrant for the fictitious business name, Orange County Pain Specialist. Based
24 upon a search of publicly available records from the California Secretary of State website, no
25 separate registered or incorporated entity could be found for Orange County Pain Specialist.
26 Through his ownership and positions, Dr. Rubin controls Rubin Inc. Rubin Inc. knowingly
27 prepared and presented, or caused to be presented, to Relator SFMAIC all the false and
28 fraudulent claims reflected on Exhibit 1.

29 10. Defendant Newport Institute of Minimally Invasive Surgery is a corporation
30 organized under the laws of the State of California with its principal place of business at 455
31 Old Newport Boulevard, Suite 100, Newport Beach, California 92663. Newport Institute
32

operates an ASC at which all the services reflected on Exhibit 2 were purportedly performed.¹ Since at least January 17, 2017, Newport Institute was accredited by the Accreditation Association for Ambulatory Health Care, Inc. (“AAAH”), an accreditation agency authorized by the Medical Board of California. According to publicly available records from the National Provider Identification (“NPI”) Registry, Dr. Rubin is the President of Newport Institute. Publicly available records filed with the California Secretary of State on or about October 3, 2016, identify Dr. Rubin as the only director of Newport Institute. Publicly available records filed with the California Secretary of State on or about March 26, 2017 and again on October 2, 2017 identify Dr. Rubin’s significant other, Antonette (“Tony” or “Toni”) Ballesteros, as the President, Chief Executive Officer, and Chief Financial Officer of Newport Institute.² Dr. Rubin has testified he is a 5% owner of Newport Institute and that “Toni Ballesteros” is also “one of the owners.” Ex. 4 at 24-26. However, Dr. Rubin is the only owner of Newport Institute identified in its publicly available accreditation records submitted to the AAAHC. It appears Newport Institute also does business under the name “Downey Interventional Procedural Center.” Specifically, Relator SFMAIC has received bills and claims that identify Downey Interventional Procedural Center as the rendering provider, which uses Newport Institute’s NPI number. Additionally, according to Newport Institute’s AAAHC records, it has a location at Downey Interventional’s address – 11525 Brookshire Avenue, Suite 405, Downey, California 90241 – that it calls “Newport Institute of Minimally Invasive Surgery – Downey Interventional Procedural Center.” Moreover, while Dr. Rubin has testified that “Downey Interventional Procedural Center” is a wholly separate California corporation (Ex. 3 at 19-20), no such entity could be identified in publicly available records from the California Secretary of State. Dr. Rubin has testified that he is a 5% owner of “Downey Interventional Procedural Center,” while the remaining 95% is owned by “Tony Ballesteros.” Ex. 3 at 19, 21. “Downey Interventional Procedural Center” is therefore included in the term “Newport

¹ Dr. Rubin also purportedly performed the procedures at issue in this action at an ASC named “Newport Institute of Minimally Invasive Procedures,” whose name appears on those bills and operative reports, and whose purported address is 455 Old Newport Boulevard, Suite 101, Newport Beach, California. No publicly available records could be located from the California Secretary of State or the NPI Registry for any such entity, “Newport Institute of Minimally Invasive Procedures.” Given the similarity in name and address between Defendant Newport Institute and “Newport Institute of Minimally Invasive Procedures” and the lack of separate publicly filed corporate records, these claims and reports are treated the same and alleged to be from Defendant Newport Institute and are reflected on Exhibit 2.

² Antonette Ballesteros’s mother, Rosalinda Ballesteros, is also identified in those same public filings with the California Secretary of State as an officer, specifically Secretary, of Newport Institute. In those same public filings, Rosalinda Ballesteros identifies Rubin Inc.’s business address (455 Old Newport Boulevard, Suite 100, in Newport Beach, California) as her address for purposes of Newport Institute.

1 Institute.” Newport Institute knowingly prepared and presented, or caused to be presented, to
2 Relator SFMAIC all the false and fraudulent claims reflected on Exhibit 2.

3 11. Relator SFMAIC is ignorant of the true names and capacities of the defendants
4 sued as Does 1 through 20, inclusive. Relator SFMAIC sues these defendants by fictitious
5 name and will amend this Complaint to allege the true names and capacities of the Doe
6 defendants when they have been determined. Relator SFMAIC is informed and believes, and
7 upon such basis alleges, that each fictitiously-named Defendant is responsible in some manner
8 for the conduct alleged in this Complaint. Relator SFMAIC at all times did not know the
9 precise nature of the conduct or participation of said fictitious-name defendants and therefore
10 prays that this Complaint be amended herein if necessary to include such particulars once
11 ascertained.

12 12. Does 1 through 10 are individuals or entities that are legally responsible in some
13 manner for the conduct herein alleged, specifically preparing and presenting, or causing to be
14 presented, the false and fraudulent claims and writings for professional fees related to pain
15 management procedures identified in part in Exhibit 1. Does 1 through 10, along with
16 Dr. Rubin and Rubin Inc., are collectively referred to herein as “Provider Defendants.”

17 13. Does 11 through 20 are individuals or entities that are legally responsible in
18 some manner for the conduct herein alleged, specifically preparing and presenting, or causing
19 to be presented, the false and fraudulent claims and writings for facility fees related to pain
20 management procedures identified in part in Exhibit 2. Does 11 through 20, along with
21 Dr. Rubin and Newport Institute, are collectively referred to herein as “ASC Defendants.”

22 14. At all relevant times as alleged herein, on information and belief, each
23 Defendant in this action was the agent, servant, employee, authorized representative, joint
24 venturer, or partner of each other Defendant, and in engaging in the conduct alleged in this
25 complaint, did so jointly and for a common purpose, within the course and scope of its agency,
26 servitude, employment, representation, joint venture or partnership, and did so in order to
27 further each other’s own financial interests. Defendants, and each of them, acted with the
28 knowledge, notification, consent, and ratification of each of the other Defendants. All the acts
29 taken by any employees, servants, or agents of any of Defendants were in fact ratified and
30 adopted by the managing personnel of each named Defendant.

31 15. Relator SFMAIC is informed and believes, and thereon alleges that, at all times
32 herein relevant, there was a unity of interest between Dr. Rubin, Rubin Inc., Newport Institute,

1 and Doe defendants, such that any individuality and separateness between these entities and
2 individuals has ceased.

3 16. Dr. Rubin, Rubin Inc., Newport Institute, and Doe defendants are, therefore, the
4 alter egos of one another and were mere shells, instrumentalities, and conduits through which
5 Defendants carried on their business and conduct to prepare and present, or cause to be
6 presented, false and fraudulent claims to Relator SFMAIC, and very likely other insurers.
7 Adherence to the fiction of the separate existence of Dr. Rubin, Rubin Inc., Newport Institute,
8 and Doe defendants as distinct from one another and other Defendants would permit an abuse
9 of the corporate privilege, and would promote injustice by protecting them from liability for
10 the wrongful acts committed by them. If the alter ego relationship between such Defendants
11 should not be recognized, an inequity would result because an entity responsible for
12 wrongdoing may be shielded from liability. Any references or allegations regarding or relating
13 to Dr. Rubin, Rubin Inc., and Newport Institute, apply equally to Doe defendants, as well as
14 one another.

15 17. Relator SFMAIC is further informed and believes, and thereon alleges, that
16 Dr. Rubin, Rubin Inc., Newport Institute, and certain Doe defendants have common
17 supervision, control, management, officers, and a unity of interest in ownership in Rubin Inc.,
18 Newport Institute, and other Doe defendants, which is reflected in the commingling and
19 pooling of earnings, expenses, and losses, such that for all intents and purposes they are one
20 and the same and the alter ego of one another.

21 18. Alternatively, the alter ego relationship between Dr. Rubin, Rubin Inc., Newport
22 Institute, or Doe defendants should be recognized to prevent an injustice. If the alter ego
23 relationship between such Defendants should not be recognized, an inequity would result
24 because an entity responsible for wrongdoing may be shielded from liability

25 **III. NATURE OF THE ACTION**

26 19. This a *qui tam* action brought on behalf of the People of the State of California.
27 Pursuant to Cal. Ins. Code § 1871.7(b), this action seeks to recover civil penalties, plus an
28 assessment of not more than three times the amount of each false and fraudulent claim
29 Defendants knowingly prepared and presented, or caused to be presented, to Relator SFMAIC
30 and very likely other insurers. This action also seeks to protect the public from continuing
31 violations of California Penal Code Sections 550(a)(1) and (a)(5).
32

1 20. The false and fraudulent claims discussed herein relate to pain management
2 procedures and related services purportedly provided by Dr. Rubin, some of which he
3 purported to provide at Newport Institute. When a physician performs procedures at an ASC,
4 like Newport Institute, Relator SFMAIC and other insurers typically are presented with at least
5 two sets of bills for the procedure: (1) a claim for professional fees for the physician's services
6 and performance of the procedure; and (2) a claim for the ASC's facility fees. Here, Provider
7 Defendants presented, or caused to be presented, false and fraudulent claims seeking payment
8 of professional fees for: (a) E/M services purportedly performed on the same dates that ESIs
9 were purportedly performed, which, in fact, were not performed, and even if they had been
10 performed, should not have been billed separately from the ESIs; (b) myelograms or
11 epidurograms, which were not performed, and even if they had been performed were not
12 medically necessary; (c) fluoroscopy that, even if performed, should not have been billed
13 separately from transforaminal ESIs at any point in time or interlaminar ESIs performed after
14 January 1, 2017; and (d) MRI interpretations that were not performed. *See* Ex. 1. For pain
15 management procedures identified on Exhibit 1 that were purportedly performed at Newport
16 Institute, ASC Defendants prepared and presented, or caused to be presented, false and
17 fraudulent claims seeking payment of facility fees for: (a) myelograms, which were not
18 performed, and even if they had been performed were not medically necessary; and (b)
19 fluoroscopy that, even if performed, should not have been billed separately from
20 transforaminal ESIs at any point in time or interlaminar ESIs performed after January 1, 2017.
21 *See* Ex. 2.

22 21. For each false and fraudulent claim summarized in Exhibits 1 and 2 (and for
23 each of the same or similar claims presented to Relator SFMAIC and other insurers),
24 Defendants violated: (a) Cal. Penal Code § 550(a)(1) by knowingly presenting, or causing to
25 be presented, any false or fraudulent claim for the payment of a loss or injury, including
26 payment of a loss or injury under a contract of insurance; and (b) Cal. Penal Code § 550(a)(5)
27 by knowingly preparing, making, or subscribing any writing, with the intent to present or use
28 it, or to allow it to be presented, in support of any false or fraudulent claim.

29 22. Pursuant to Cal. Ins. Code § 1871.7(b), Defendants are liable for civil penalties
30 of \$5,000 to \$10,000 for each fraudulent claim they prepared and presented, or caused to be
31 presented, to Relator SFMAIC (and very likely other insurers), as well as an assessment of up
32 to three times the amount of each such claim. As a result, based solely on the claims set forth

1 in Exhibits 1 and 2, Defendants are collectively liable for statutory penalties of at least \$2.3
2 million, plus an assessment of up to \$4.8 million. In addition, pursuant to Cal. Ins. Code
3 § 1871.7(b), Relator SFMAIC is entitled to other equitable relief, including an injunction, as is
4 necessary to prevent the transfer, concealment, or dissipation of illegal proceeds, or to protect
5 the public. Finally, Relator SFMAIC is entitled to any additional relief authorized by Cal. Ins.
6 Code § 1871.7(g), including an appropriate percentage of the proceeds of the action or
7 settlement, as well as its costs, expenses, and reasonable attorney's fees.

8 23. Defendants' fraudulent scheme began as early as April 2013 and has continued
9 uninterrupted through the present. Based upon Defendants' affirmative misrepresentations and
10 material omissions described herein, Relator SFMAIC did not discover the facts constituting
11 the grounds for commencing this action until within three years of filing this action until, at
12 the earliest, sometime in or around April 2019. Each bill and its supporting documentation,
13 when viewed in isolation, does not reveal its fraudulent nature. Only when the bills and
14 supporting documentation are viewed together as a whole do the patterns emerge revealing the
15 fraudulent nature of all the bills and supporting documentation.

16 **IV. JURISDICTION AND VENUE**

17 24. This is a civil action arising under the laws of the State of California. This
18 Court has jurisdiction over the subject matter of this claim pursuant to California Insurance
19 Code Section 1871.7. This Complaint arises out of Defendants' transaction of business in
20 California and their preparation and presentation of false and fraudulent insurance claims and
21 writings in this State. The statutory penalties and assessments, as well as other remedies, that
22 may be assessed against Defendants in this action are within the jurisdictional amounts of the
23 Superior Court.

24 25. Venue is proper in this Judicial District because Defendants (a) reside in, or can
25 be found in and/or transact business in the County of Orange; and (b) while in this Judicial
26 District, or in the County of Orange, Defendants violated California Insurance Code Section
27 1871.7 and California Penal Code Sections 550(a)(1) and 550(a)(5).

28 26. Relator SFMAIC has complied with the requirements of California Insurance
29 Code Section 1871.7(e)(2) by filing the Complaint *in camera* and serving the Orange County
30 District Attorney's Office and the California Insurance Commissioner's office with a written
31 disclosure of substantially all material evidence and information in its possession. Relator
32 SFMAIC is not aware of any public disclosure of, or related actions based on, the facts

underlying this Complaint. Relator SFMAIC uncovered the activities described herein, has direct and personal knowledge thereof, and is the original source of the facts giving rise to this Complaint.

V. GOVERNING LEGAL STANDARDS

A. The California Insurance Frauds Prevention Act

27. At all relevant times, there was in full force and effect in the State of California the following provision:

Every person who violates any provision of . . . Section 549, 550, or 551 of the Penal Code shall be subject, in addition to any other penalties that may be prescribed by law, to a civil penalty of not less than five thousand dollars (\$5,000) nor more than ten thousand dollars (\$10,000), plus an assessment of not more than three times the amount of each claim for compensation, as defined in Section 3207 of the Labor Code or pursuant to a contract of insurance. The court shall have the power to grant other equitable relief, including temporary injunctive relief, as is necessary to prevent the transfer, concealment, or dissipation of illegal proceeds, or to protect the public. The penalty prescribed in this paragraph shall be assessed for each fraudulent claim presented to an insurance company by a defendant and not for each violation.

Cal. Ins. Code § 1871.7(b).

28. The public policy expressly underlying the IFPA is to “more effectively investigate and discover insurance frauds [and] halt fraudulent activities” because: (1) “[i]nsurance fraud is a particular problem for automobile policy holders” with “fraudulent activities account[ing] for 15 to 20 percent of all auto insurance payments”; and (2) “[p]revention of automobile insurance fraud will significantly reduce the incidence of severity and automobile insurance claim payments and will therefore produce a commensurate reduction in automobile insurance premiums.” Cal. Ins. Code § 1871. Similar concerns also apply to workers’ compensation and health insurance fraud, both of which are expressly addressed by the IFPA as well. *Id.* at §§ 1871(d), (e), and (h). The IFPA empowers and encourages any “interested person,” including insurers such as Relator SFMAIC, to bring a civil action under Cal. Ins. Code § 1871.7 against those who prepare and present, or cause to be presented, false or fraudulent claims against insurers. The California Courts of Appeal have emphasized that the statute has been amended repeatedly to specifically authorize and encourage insurers to bring fraud actions pursuant to that provision. By enacting Cal. Ins. Code § 1871.7, the Legislature of California envisioned that insurance companies could help combat the prevalent and serious problem of insurance fraud. This case furthers these

1 objectives and will help protect the public from inflated insurance premiums that result from
2 insurance fraud. *See People ex rel. Strathmann v. Acacia Research Corp.*, 210 Cal. App. 4th
3 487, 504–05 (2012). Thus, Cal. Ins. Code § 1871.7 provides for a *qui tam* civil action like the
4 instant one, specifically authorizing insurers to prosecute proscribed conduct and bring fraud
5 actions under that statute on behalf of the People of the State of California.

6 29. In this action, Relator SFMAIC does not seek any relief greater than or different
7 from the relief sought for the general public. This action will enforce an important right
8 affecting the public interest of the People of the State of California, namely to deter and
9 protect the public from insurance fraud, and if successful, will confer a significant benefit on
10 the general public. Defendants’ conduct is continuing in nature and private enforcement of the
11 IFPA is appropriate and necessary. Private enforcement of the IFPA places a disproportionate
12 financial burden on Relator SFMAIC in relation to any stake in the matter. Cal. Civ. Proc.
13 Code § 425.17(b).

14 30. Cal. Penal Code § 550(a) provides, in relevant part, that it is unlawful to do, or
15 aid, abet, solicit, or conspire to do any of the following: (a) “[k]nowingly present or cause to
16 be presented any false or fraudulent claim for the payment of a loss or injury, including
17 payment of a loss or injury under a contract of insurance” (Cal. Penal Code § 550(a)(1)); or (b)
18 “[k]nowingly prepare, make, or subscribe any writing, with the intent to present or use it, or to
19 allow it to be presented, in support of any false or fraudulent claim” (Cal. Penal Code
20 § 550(a)(5)).

21 **B. The Submission of False Billing Codes Is Actionable Under the IFPA**

22 31. Current Procedural Terminology (“CPT”) Codes are five-digit numeric codes
23 established by the American Medical Association for healthcare professionals to use on bills
24 and claims to describe to insurance companies and other payors in a uniform manner the
25 services they have provided, for which they are presenting a claim for payment. When
26 healthcare professionals, including ASCs, use CPT Codes on bills they present, or cause to be
27 presented, to insurers and other payors, they are required to select the CPT Codes that most
28 accurately identify the services they performed. The use of a false CPT Code to misrepresent
29 the services that were provided constitutes a false claim under California Insurance Code
30 Section 1871.7. *See, e.g., People ex rel. GEICO v. Cruz*, 244 Cal. App. 4th 1184, 1198-99
31 (2016) (upcoding the level of services provided is actionable under the IFPA); *People ex rel.*
32

1 *Allstate Ins. Co. v. Muhyeldin*, 112 Cal. App. 4th 604, 607 (2003) (affirming jury verdict under
2 IFPA against doctors who “knowingly bill[ed] for services not rendered”).

3 32. When one CPT Code describes multiple components of a service or procedure,
4 healthcare providers are required to use that CPT Code to support a single charge (*i.e.*, a
5 “bundled” charge) for all components of that service or procedure. Healthcare providers are
6 not permitted to “unbundle” their charges for multiple components of the same service or
7 procedure by using multiple CPT Codes to support separate charges for each of the component
8 parts of the service or procedure because such a practice necessarily results in double-billing
9 for each component part that is unbundled. The preparation and presentation of “unbundled”
10 CPT Codes and charges is deceitful, misleading, and fraudulent, and therefore actionable under
11 the IFPA. *See, e.g., State ex rel. Wilson v. Super. Ct.*, 227 Cal. App. 4th 579, 601 (2014).

12 33. As set forth below, Defendants knowingly prepared and presented, or caused to
13 be presented, false and fraudulent claims to Relator SFMAIC, and very likely other insurers,
14 with CPT Codes that falsely represented the procedures that Defendants performed.
15 Specifically, Defendants knowingly prepared and presented, or caused to be presented, false
16 and fraudulent claims and writings to Relator SFMAIC and very likely other insurers for: (a)
17 E/M services on the same dates that the ESIs were purportedly performed, but the E/M
18 services, in fact, were not performed, and, even if they had been performed, should not have
19 been billed separately from the ESIs; (b) myelography and epidurography, which were not
20 performed or were not medically necessary; (c) fluoroscopy that, even if performed, should not
21 have been billed separately from certain ESIs performed by Defendants; and (d) MRI
22 interpretations that were not performed.

23 **VI. FACTUAL ALLEGATIONS**

24 **A. Overview of Injections, Related Services, and E/M Services in the** 25 **Legitimate Treatment of Neck and Back Injuries**

26 **1. Injections and the Legitimate Use of Fluoroscopy**

27 34. Dr. Rubin purports to examine, diagnose, and treat patients who have been
28 injured and purportedly complain of neck and/or back pain, among other ailments.

29 35. When conservative treatment, such as over-the-counter medications or physical
30 therapy, has not been successful in alleviating a patient’s back or neck pain, a physician may
31 consider recommending that the patient undergo an interventional pain management procedure.
32 Among the most common forms of pain management procedures are steroid injections. A

1 provider may perform such steroid injections to allow for the therapeutic treatment of (or
2 diagnosis of the source of) chronic pain in regions of the spine (lumbar, thoracic, and cervical),
3 as well as joints (facet, shoulder, hip, wrist, etc.). Because injection procedures are invasive,
4 often involve the introduction of steroids into the body, and may involve the use of sedation,
5 they present associated risks as well as increased costs to patients. Therefore, steroid
6 injections should be performed only when appropriate indications are present and a provider
7 determines that they are medically necessary to diagnose and/or treat pain generators in and
8 around the spine, alleviate pain in order to facilitate conservative care, or alleviate pain after
9 conservative care has failed or if it is not an option. Moreover, subsequent therapeutic steroid
10 injections generally should not be performed until several weeks have passed and the patient
11 has been re-evaluated. Although many pain management injections can safely be performed in
12 a physician's office, they are commonly performed in outpatient ASCs.

13 36. Common types of spinal steroid injection procedures include ESIs, facet-joint
14 injections, and medial-branch blocks. Each type of injection is designed to target a specific
15 part of the anatomy and address different clinical indications and patient complaints. The
16 decision of which spinal injection procedure to perform, if any, should be based on a
17 physician's clinical judgment based on a review of the patient's complaints, history, physical
18 examination, and review of imaging studies and other medical records.

19 37. Typical clinical indications for ESIs are pain radiating from the neck into the
20 upper extremities or from the lower back into the lower extremities, correlating with radiologic
21 evidence (*e.g.*, MRIs) of nerve-root irritation, inflammation, or compression at spinal levels
22 that are consistent with the distribution of the patients' pain. Two common forms of ESIs are
23 interlaminar and transforaminal ESIs.

24 38. Interlaminar ESIs, which are sometimes referred to as "translaminar ESIs,"
25 involve the insertion of a needle into the posterior epidural space between two adjacent
26 vertebral lamina and delivering steroids and sometimes anesthetic, which then typically
27 spreads to epidural spaces above and below the injected space. A physician may only submit
28 one charge for each region of the spine in which he or she performs an interlaminar ESI.

29 39. Fluoroscopy is a radiological imaging technique used to obtain real-time images
30 of internal structures of the body. To utilize fluoroscopic imaging, a physician inserts a needle
31 into the targeted area, injects a contrast dye viewable under x-ray, and then uses a fluoroscan
32 monitor to view the flow of the contrast dye. Spinal injections such as ESIs are commonly

1 performed under fluoroscopic guidance to visualize the needle to ensure the proper placement
2 of the needle and flow of medication during the injection. Once it is confirmed the needle is in
3 the correct position, the medication is administered. The ability to separately bill for guidance
4 during an ESI (*i.e.*, fluoroscopy) depends on the type of ESI performed and when the ESI was
5 performed.

6 40. Effective January 1, 2017, the CPT Codes related to interlaminar ESI charges
7 changed, primarily to reflect the use or non-use of imaging for guidance of the injection,
8 including fluoroscopy or computed tomography (“CT”). In relevant part, providers are no
9 longer able to report the injections and fluoroscopy separately (*i.e.*, unbundled) for
10 interlaminar ESIs. Each CPT Code for interlaminar ESIs was replaced with two new Codes:
11 (a) one that reflects the injection without guidance, and (b) one that reflects the injection with
12 guidance. Specifically and as part of that January 1, 2017 change, the CPT Codes for cervical
13 or thoracic interlaminar ESIs changed from 62310 to 62320 to reflect an injection without
14 guidance and to 62321 to reflect an injection with guidance. Despite these coding changes by
15 the American Medical Association and as alleged more fully below, Provider Defendants
16 improperly continued to use CPT Code 62310 for interlaminar ESIs, reflecting that those
17 injections were done without guidance, while continuing to improperly bill a separate charge
18 for fluoroscopic guidance.

19 41. A transforaminal ESI is more complex than an interlaminar ESI, as it involves
20 the targeted insertion of a needle through the intervertebral neural foramen and injection of
21 steroids and anesthetic into the nerve sleeve, which allows the steroid to travel into the
22 targeted epidural space. Because transforaminal ESIs deliver steroid to a more targeted
23 location and the steroid does not spread in the same manner that it does with interlaminar ESIs,
24 physicians may perform separate transforaminal ESIs at multiple levels of the spine during the
25 same visit. Specifically, the physician is permitted to use CPT Code 64479 (cervical spine) or
26 CPT Code 64483 (lumbar or sacral spine) to describe the first injection, and CPT Code 64480
27 (cervical spine) or CPT Code 64484 (lumbar and sacral spine) to describe the transforaminal
28 ESIs performed at each additional vertebral level. Since January 1, 2011, and during the
29 relevant time-period alleged herein, these CPT Codes for transforaminal ESIs have included
30 the use of guidance (*e.g.*, fluoroscopy). Because performing a transforaminal ESI necessarily
31 requires the use of fluoroscopic imaging, fluoroscopy cannot be billed separately or
32 “unbundled” when a provider bills for performing transforaminal ESIs.

2. The Legitimate Use of Epidurography and Myelography

42. Epidurography involves the use of fluoroscopic imaging to examine and diagnose the condition of a patient's epidural space in the spine. For example, a physician may recommend that a patient undergo epidurography if the physician has reason to believe the patient's epidural space may contain unusual pathologies or structural abnormalities, such as a type of scar tissue referred to as adhesions, which could impede or disrupt the flow of the injected solution during an ESI. During epidurography, the physician inserts a needle into the epidural space, without penetrating the dura (the membranous sheath that protects the spinal cord, also known as the "thecal sac"). The physician then injects a contrast through the needle and uses a fluoroscan monitor to view its flow and thereby determine the condition and any pathology of the epidural space. The resulting image from this process is called an epidurogram. The physician then interprets the epidurogram to make a clinical diagnosis of the patient's epidural space, which is memorialized in a written report.

43. Because the purpose of epidurography is to identify any pathology or structural abnormality that might affect the flow of the steroid through the epidural space, there is generally no need to perform epidurography multiple times on the same region of the spine in a single patient, unless there is some reason to believe that the patient may have developed some new pathology or structural abnormality that could affect the flow of steroids through the epidural space during the time between procedures (such as new scar tissue that may have developed if the patient underwent spine surgery between ESIs).

44. Myelography is another diagnostic procedure that uses fluoroscopic imaging and typically subsequent CT to visualize the spine. Despite its similarities to epidurography, myelography is a distinct procedure that focuses on a separate part of the spinal anatomy. Unlike epidurography, which targets the epidural space (*i.e.*, the space outside the dura or thecal sac), myelography involves penetration of the needle *inside* the dural membrane and into the subarachnoid space (or the "intrathecal" space), a completely different anatomical structure than the epidural space. Once the needle is inside this membrane, contrast dye is injected which disperses throughout the intrathecal space (the area surrounding the spinal cord), thus permitting the spinal cord and nerve roots to be viewed under fluoroscopic x-ray, which produces an image called a myelogram. Myelography is typically used when there is an indication of a potential injury to the spinal cord, spinal stenosis, or other abnormality of the spinal canal, and the injection of dye is typically followed by obtaining CT images for better

1 visualization. If a patient has already received a MRI, there would be no medical justification
2 to perform myelography. Conversely, if a patient is unable to have a MRI performed (*e.g.*, a
3 patient has a pacemaker), there could be a medical justification to perform myelography.

4 45. CPT Code 72240 is used to describe myelography at the cervical region, CPT
5 Code 72255 is used for the thoracic region, CPT Code 72265 is used for the lumbar region,
6 and 72270 is used when myelography is performed at two or more regions. Regardless of the
7 region where the procedure is performed, all CPT Codes for myelography require radiological
8 supervision and interpretation.

9 46. Because myelography involves the insertion of a needle and injection of dye into
10 the structure directly next to the spinal cord, it poses greater risks than epidurography. In
11 particular, it increases cerebral spinal fluid (“CSF”) pressure within the dura, which creates
12 risks of serious complications, including paralysis or even death. In addition, post-dural
13 puncture headaches, which are debilitating and can last up to a week or longer, are a common
14 adverse effect of myelography. Additionally, the steroid administered during an ESI contains
15 a preservative that can damage the spinal cord. Because myelography involves penetration of
16 the dural membrane, an ESI should not be performed on the same day as myelography to
17 ensure that the preservative in the steroid solution does not get near the spinal cord. Indeed, if
18 a physician inadvertently punctures the dura and then enters the subarachnoid space during an
19 ESI, it would likely result in a CSF leak, a complication serious enough to terminate the ESI
20 procedure.

21 47. Epidurography and myelography are both diagnostic procedures. Thus,
22 physicians should maintain permanent image recordings of epidurograms and myelograms, and
23 prepare detailed reports summarizing their findings from their reviews of epidurograms or
24 myelograms. As set forth in the Practice Guidelines for Spinal Diagnostic and Treatment
25 Procedures, Second Edition, published by the International Spine Intervention Society (the
26 “Guidelines”), it is recommended “that a permanent record be kept of the radiographic images
27 acquired during the conduct of the procedures,” with the minimal requirement calling for
28 “images [to be maintained] of a sufficient number and type to show accurately what was
29 done.” More specifically, “[t]he placement of a needle ... should be recorded on at least two
30 imaging planes, typically an antero-posterior view and a lateral view or oblique view, that
31 would allow a third party to determine accurately where exactly the needle ... was located.”
32 Further, “[i]f contrast medium is subsequently injected, a similar series of views should

1 additionally be recorded in order to demonstrate where the contrast medium flowed.” The
2 Guidelines further explain that “[p]ermanent records may be pertinent to the future
3 management of a patient, by the original treating physician or by another physician.” Indeed,
4 “[i]mages that record the procedure can be incorporated into reports to the referring physician,
5 rendering them fully informed as to what occurred during the procedure.” Finally, the
6 Guidelines reject as “specious” any “arguments that it takes too much time to keep records, or
7 that it slows the physician down,” and specifically notes that: “[e]ach image will have been
8 acquired during the normal conduct of the procedure,” “all that is required is to press the
9 ‘save’ button [at the time the image is acquired],” and “those images can be downloaded
10 within minutes to an external record.” The Guidelines conclude that “[n]ot affording that time
11 [to maintain permanent records] hints at negligence, disdain, or lack of professionalism, and
12 renders the physician vulnerable to such accusations.”

13 48. Thus, pursuant to the Guidelines, physicians performing spinal injections with
14 imaging, which would include epidurography or myelography, should maintain a permanent
15 image recording of the epidurogram and myelogram, and prepare a detailed report
16 summarizing their findings from their review of the epidurogram or myelogram. Simply
17 visualizing the flow of contrast around the spine during an ESI using fluoroscopy, without
18 taking and maintaining a permanent image recording and preparing a detailed report
19 summarizing the physician’s findings based on his or her review of the epidurogram or
20 myelogram, is not sufficient to justify a separate charge for epidurography or myelography.

21 3. Legitimate E/M Services and Billing for E/M Services on the Same 22 Day as an ESI

23 49. Physicians use a variety of CPT Codes to describe the nature and level of E/M
24 services that they provide to patients during office visits. For an office visit with an
25 established patient (*i.e.*, a patient who within the past three years has received professional
26 services from the same physician, or another qualified healthcare professional of the exact
27 same specialty and subspecialty who belongs to the same group practice) physicians may use
28 CPT Codes 99211, 99212, 99213, 99214, or 99215 to describe the nature and level of the E/M
29 services they performed. In selecting the appropriate CPT Code to describe the nature and
30 level of the E/M services performed during an established patient office visit, several
31 enumerated factors are to be considered, but the three key components are the extent of the
32

1 history obtained, the examination performed, and the complexity of the medical decision
2 making.

3 50. The appropriate CPT Code for office visits for established patients changes with
4 the level of E/M services performed. Specifically, CPT Code 99211 represents the lowest
5 level of E/M services for an established patient during an office visit, CPT Codes 99212,
6 99213, and 99214 represent increasingly more complex histories being taken, examinations
7 being performed, and medical decision-making being conducted, and CPT Code 99215
8 represents the highest level of E/M services for an established patient during an office visit.

9 51. Physicians may also bill for “consultations” in which they provide E/M services
10 to patients at the request of another physician. Similar to the sequence of codes used for E/M
11 services provided to established patients, physicians may use CPT Codes 99241, 99242,
12 99243, 99244, or 99245 to describe the level of the E/M services they perform during a
13 consultation for either a new or established patient. In selecting the appropriate CPT Code to
14 describe the nature and level of the E/M services performed during a consultation, several
15 enumerated factors are to be considered, but the three key components are the extent of the
16 history obtained, the examination performed, and the complexity of the medical decision
17 making.

18 52. Like the CPT Codes for established patients described above, the CPT Codes for
19 consultations changes with the level of E/M services performed. Specifically, CPT Code
20 99241 represents the lowest level of E/M services for a consultation during an office visit and
21 typically requires 15 minutes of face-to-face time spent by the physician with the patient.
22 CPT Codes 99242, 99243, and 99244 represent increasingly more complex histories being
23 taken, examinations being performed, and medical decision-making being conducted, and
24 represent that typically 30 minutes, 40 minutes, and 60 minutes are spent face-to-face with the
25 patient, respectively. Finally, CPT Code 99245 represents the highest level of E/M services
26 for a consultation during an office visit and represents that a comprehensive history was taken,
27 a comprehensive examination was performed, and medical decision making of high complexity
28 was performed, which typically entails 80 minutes being spent face-to-face by the physician
29 with the patient.

30 53. When a physician performs an ESI, the charge for performing the injection
31 necessarily includes an evaluation of the patient’s history, current condition, and any related
32 imaging studies before performing the ESI. Because this evaluation is considered part of the

1 professional services associated with performing an ESI, it is therefore included in and
2 “bundled” with the relevant CPT Code(s) and corresponding charges for providing the ESI. As
3 the American Medical Association’s CPT Manual makes clear, any “CPT surgical code” (*i.e.*,
4 a CPT Code for ESIs) necessarily includes, *inter alia*, E/M service(s) on the day before
5 “and/or the day of surgery [or procedure] (*including history and physical*)” (emphasis added).

6 54. According to the American Medical Association’s CPT Manual, if a patient’s
7 condition requires a physician to perform “a significant, separately identifiable E/M service”
8 (*e.g.*, 99241-45), “above and beyond” the professional services associated with the
9 performance of an ESI on the same day, or “above and beyond” the usual “preoperative and
10 postoperative care” associated with the ESI performed on the same day, physicians may submit
11 a separate charge for the significant, separately identifiable E/M services using the appropriate
12 CPT Code to describe the level of that service (*e.g.*, 99201-05, 99211-15, or 99241-45) and
13 adding modifier “25.” According to the American Medical Association’s CPT Manual, the use
14 of modifier “25” represents a “significant, separately identifiable evaluation and management
15 [E/M] service by the same physician on the same day of the procedure or other service.” The
16 CPT Manual also indicates that a significant, separately identifiable E/M service requires that
17 “the patient’s condition required a significant, separately identifiable E/M service above and
18 beyond the other service provided or beyond the usual preoperative and postoperative care
19 associated with the procedure that was performed.” Furthermore, the CPT Manual provides
20 that the significant, separately identifiable E/M services should be substantiated by
21 documentation that satisfies the relevant criteria for the respective E/M services.

22 4. The Legitimate Use and Interpretations of MRIs

23 55. MRI is an imaging technique that can produce high quality images of the
24 muscle, bone, tissue, and nerves inside the human body. MRIs are often used following auto
25 accidents to diagnose abnormalities in the peripheral nerves and nerve roots through images of
26 the nerves, nerve roots, and surrounding areas. MRIs should only be performed after an
27 individualized determination is made on each patient that it is medically necessary. For
28 example, MRIs may be ordered when a patient’s diagnostic picture is particularly unclear,
29 response to treatment is atypical or not significant, or there are legitimate concerns about
30 structural damage or internal injuries to the patient. When MRIs are ordered, they should be
31 limited to the targeted body part or area of interest.

1 56. When MRIs are clinically indicated and ordered by a treating physician, he or
2 she should evaluate the significance of the MRI interpretations and incorporate those findings
3 into ongoing diagnoses and future treatment plans. Specifically, physicians should seek
4 clinical corroboration as to whether any of the identified abnormalities in the MRI
5 interpretations are symptomatic and/or present any clinical significance to the patient. For
6 instance, it is well-established that many abnormalities identified by MRIs have no clinical
7 significance and result in no identified symptoms for the patient. In addition, many
8 abnormalities identified by MRIs are related to patients' normal aging process or are of a
9 historical nature and have no correlation with any acute injury. As a result, it is important for
10 the doctor treating a patient for an acute injury to differentially determine which, if any,
11 abnormalities identified by MRIs may be related to the presenting symptoms and/or any acute
12 injury, and then to factor that information into the differential diagnosis and future treatment
13 plan accordingly.

14 57. MRIs performed at different spinal regions are associated with different CPT
15 Codes. For example, for a MRI of the spine in the cervical region, CPT Code 72141 is used,
16 while MRIs of the thoracic or lumbar regions are associated with CPT Codes 72146 and
17 72148, respectively. Similarly, CPT Code 73223 describes the performance of a MRI of any
18 upper body extremity or joint. After a MRI is performed, the resulting images or studies must
19 be interpreted by a physician, typically a radiologist. Regardless of what region a MRI is
20 performed on and thus which CPT Code is used, it is improper for a medical provider to charge
21 for interpreting a MRI if the provider does not prepare a written interpretative report.
22 According to the American Medical Association's CPT Manual, a "written report [] signed by
23 the interpreting individual should be considered an integral part of a radiologic procedure or
24 interpretation." Thus, these MRI billing codes all represent that a MRI was both performed
25 and interpreted, with a written report prepared, by the billing provider. Additionally, a
26 physician who interprets a MRI may indicate that he or she only read and interpreted the
27 image, but did not perform the MRI itself. In that case, the physician should add a "26"
28 modifier to the above codes, which indicates only the "professional component" (*i.e.*,
29 interpretation) of the service was provided. Regardless, that physician would still need to
30 prepare a written report.

1 **B. Defendants’ False and Fraudulent Claims**

2 **1. Provider Defendants’ and ASC Defendants’ False and Fraudulent**
3 **Claims for Fluoroscopy**

4 58. Fluoroscopy is a procedure that can be, in certain circumstances, legitimately
5 billed by physicians performing ESIs and is distinct from epidurography or myelography. To
6 assist with the appropriate needle placement, ESIs are typically performed under fluoroscopic
7 guidance. Fluoroscopy is a radiological imaging technique used to obtain real-time images of
8 the internal structures of a patient to help guide the path and proper placement of the needle
9 during an injection. When fluoroscopic guidance is used, a physician inserts a needle into the
10 targeted area, a contrast is then injected, and a picture is taken, which the physician uses to
11 confirm the needle is in the correct position. Once it is confirmed that the needle is in the
12 correct position, the injection can be performed.

13 59. As discussed above, the use of fluoroscopy is required for transforaminal ESIs.
14 Since January 1, 2011, fluoroscopy cannot be billed separately from transforaminal ESIs, but
15 is instead “bundled” into a single CPT Code that includes fluoroscopy. Similarly, since
16 January 1, 2017, the CPT Codes for interlaminar ESIs also included (*i.e.*, “bundled”) the
17 fluoroscopic guidance component, making fluoroscopy not separately reimbursable for such
18 procedures after that date. Thus, it constitutes impermissible and illegal double-billing to
19 charge separately for fluoroscopy with charges for (a) transforaminal ESIs after January 1,
20 2011, and (b) interlaminar ESIs after January 1, 2017.

21 60. Nevertheless, both Provider Defendants and ASC Defendants routinely and
22 improperly submitted separate charges for fluoroscopy on bills that also included charges for
23 interlaminar and/or transforaminal ESIs. By doing so, Defendants substantially and
24 improperly increased the total amount of their charges. Indeed, Provider Defendants typically
25 charged \$1,400 for each separate fluoroscopy charge (CPT Code 77003) on bills presented to
26 Relator SFMAIC, while ASC Defendants typically charged \$1,150 for the facility fees
27 (associated with each separate fluoroscopy charge (CPT Code 77003-TC) on bills presented to
28 Relator SFMAIC for Dr. Rubin’s patients. *See* Exs. 1-2. Provider Defendants’ and ASC
29 Defendants’ bills are false and fraudulent because they include separate “unbundled” charges
30 for fluoroscopy (under CPT Code 77003 or 77003-TC), along with charges for (a)
31 transforaminal ESIs purportedly performed by Dr. Rubin after January 1, 2011, and/or (b)
32 interlaminar ESIs purportedly performed by Dr. Rubin after January 1, 2017. Thus, the

1 submission of charges for both the ESI and fluoroscopy for these time periods improperly
2 unbundled the billing codes in order to inflate the total charges for Defendants' services.

3 **2. Provider Defendants' False and Fraudulent Epidurography Claims**

4 61. As discussed above, epidurography is a diagnostic procedure that should only be
5 performed when medically necessary to diagnose the condition of a patient's epidural space.
6 In order to submit a separate charge for epidurography under CPT Code 72275, a physician
7 must maintain the films from the epidurography and prepare a detailed report summarizing the
8 findings from the epidurography. It is not appropriate to charge for epidurography when a
9 physician simply uses fluoroscopic imaging during an ESI to evaluate routine dye patterns and
10 guide needle placement. It is also not necessary to perform epidurography on the same region
11 of a patient's spine on multiple occasions, absent some basis to believe the condition of the
12 patient's epidural space has changed since epidurography was last performed.

13 62. As shown on the chart attached as Exhibit 1, Provider Defendants routinely
14 billed for epidurography on patients who received ESIs. Dr. Rubin's medical records,
15 including operative reports and other medical records from ASC Defendants or other ASCs at
16 which Dr. Rubin purportedly performed ESIs, however, fail to note the clinical basis or
17 rationale, if any, for performing epidurography, and fail to contain a summary of the purported
18 findings from the epidurography, let alone the type of detailed report interpreting an
19 epidurogram that is required to bill for epidurography. *See* Exs. 6-10 (exemplar operative
20 reports and bills from Provider Defendants). The absence of any documented indication for
21 the medical necessity of epidurography or any documented findings from the epidurograms
22 suggests that none of the separate epidurography procedures Dr. Rubin purportedly performed
23 was medically necessary. It is also not credible that so many of Dr. Rubin's patients required
24 epidurography, let alone epidurography of the same region of the spine on multiple dates of
25 service, which further indicates the epidurography procedures were not medically necessary
26 and were simply performed to enrich Defendants, if the procedures were performed at all.

27 63. Furthermore, it is highly unlikely Provider Defendants actually performed the
28 epidurography procedures billed to Relator SFMAIC. If epidurography procedures were
29 performed, images of the procedures would have presumably been taken using fluoroscan
30 monitors or other imaging devices at the ASCs, and these images are required to be maintained
31 in order to present a separate charge for epidurography. On numerous instances, Relator
32 SFMAIC requested complete patient records from Defendants for individual patients whose

1 claims are at issue in this Complaint, which should have included images of the billed for
2 epidurograms and a detailed report summarizing the findings from these diagnostic procedures,
3 and/or specifically requested such images and reports, but Defendants failed to provide those
4 requested images or reports.

5 64. In addition, Provider Defendants regularly submitted charges for epidurography
6 in connection with ESI procedures for patients who had previously undergone epidurography
7 of the same region, without documenting any clinical rationale for performing repeated
8 epidurography or any findings from the epidurogram.

9 65. Furthermore, Dr. Rubin's operative reports do not describe epidurograms
10 because they likely were not performed. The reports, at most, usually only stated that an ESI
11 was performed "under fluoroscopic guidance and epidurography." *See, e.g.*, Exs. 6-10. The
12 operative reports, however, merely described the insertion of a needle into an epidural space
13 with the use of fluoroscopic guidance, the injection of a contrast or dye through that needle
14 which was reported to show good "spread" or "radicular spread" (*i.e.*, flow) in the epidural
15 spaces, followed by the injection of steroids and anesthetics through the same needle into the
16 same epidural space. *See id.* If the operative reports are true, Dr. Rubin performed ESIs with
17 basic fluoroscopic guidance. Thus, there is no indication in these reports that epidurography
18 was either indicated or performed.

19 66. By including separate charges for false and fraudulent epidurography procedures
20 in connection with ESIs, Provider Defendants substantially and improperly increased the total
21 amount of their charges. Indeed, Provider Defendants typically charged \$1,400 for each
22 epidurography procedure (CPT Code 72275) included on bills submitted to Relator SFMAIC.
23 *See* Ex. 1.

24 3. Provider Defendants' and ASC Defendants' False and Fraudulent 25 Myelography Claims

26 67. Like the false claims for epidurography, Provider Defendants also included false
27 charges for myelography services in connection with ESIs for which Dr. Rubin billed.
28 Additionally, ASC Defendants charged for facility fees related to these myelography services.
29 However, myelography was not performed or was not medically necessary.

30 68. As discussed above, myelography involves the injection of contrast dye beneath
31 the dura of the spinal cord and into the subarachnoid space, a potentially risky procedure
32 which should only be performed when clinically indicated. As shown in the charts attached as

1 Exhibits 1 and 2, and although Dr. Rubin's operative reports and other medical records were
2 devoid of any clinical basis or rationale for performing this procedure and lacked the type of
3 detailed summary required to bill for this procedure, both Provider Defendants and ASC
4 Defendants included charges for myelography in connection with ESIs that Dr. Rubin
5 purportedly performed, even for patients on whom Dr. Rubin had purportedly already
6 performed myelography in the same region on a prior occasion. *See, e.g.*, Exs. 1-2. It is
7 highly improbable, if not impossible, that so many of Dr. Rubin's patients required
8 myelography, let alone myelography on multiple dates of service, which indicates that the
9 myelography procedures were not performed or were not medically necessary. Moreover,
10 these myelography procedures were virtually always performed in connection with ESIs in
11 spite of the fact that, as discussed above, myelography involves the penetration of the dural
12 membrane, and therefore, an ESI should not be performed at the same time due to the risk of
13 introducing the preservative used in myelography to the spinal cord. Simply put, Dr. Rubin's
14 operative reports do not describe a myelogram because it was not actually performed. As
15 discussed above, the operative reports described the insertion of a needle into an epidural
16 space with the use of fluoroscopic guidance, the injection of a contrast or dye through that
17 needle which was reported to show good "spread" or "radicular spread" (*i.e.* flow) in the
18 epidural spaces, followed by the injection of steroids and anesthetics through the same needle
19 into the same epidural space. *See, e.g.*, Exs. 6-15. If the operative reports are true, Dr. Rubin
20 performed ESIs with at most basic fluoroscopic guidance. There is no indication in these
21 reports that myelography was either indicated or performed.

22 69. Additionally, Dr. Rubin testified in a September 25, 2017 deposition that
23 myelography is a "very invasive, unnecessary procedure," he does not "perform any
24 myelograms at all" on patients who receive ESIs, and he does not "do those" procedures (*i.e.*,
25 myelograms). Ex. 5 at 67-68. This testimony further confirms that neither Provider
26 Defendants nor ASC Defendants performed the myelography services for which Defendants
27 billed.

28 70. Both Provider Defendants and ASC Defendants prepared and presented, or
29 caused to be presented, false and fraudulent claims and writings to Relator SFMAIC, and very
30 likely other insurers, including those summarized in the charts attached as Exhibits 1 and 2,
31 that falsely used CPT Codes 72240 (cervical), 72255 (thoracic), and/or 72265 (lumbar),
32 representing that Dr. Rubin had interpreted the x-ray image from the myelography of the

1 identified spinal region, for which Provider Defendants charged an additional \$900 and ASC
2 Defendants typically charged an additional \$850 for the facility fees associated with each
3 myelography charge.

4 **4. Provider Defendants' False and Fraudulent Charges for Significant,**
5 **Separately Identifiable E/M Services**

6 71. Provider Defendants knowingly prepared and presented, or caused to be
7 presented, false and fraudulent claims and writings, including those identified on Exhibit 1,
8 falsely representing that Dr. Rubin performed significant, separately identifiable E/M services
9 for each patient that were above and beyond the ESI services and the usual preoperative and
10 postoperative services related to those ESIs. In fact, in each claim identified in the "False E/M
11 Code" column of Exhibit 1, Provider Defendants used CPT Code 99241-25 to describe the
12 significant, separately identifiable E/M services that Dr. Rubin purportedly performed on the
13 date of each patient's ESI. Provider Defendants' claims included additional charges of \$495
14 associated with this code, which had a corresponding description of "history and physical." As
15 described above, however, a "history and physical" is included in (*i.e.*, bundled with) the CPT
16 Codes for the ESIs being performed on those days.

17 72. These claims were false and fraudulent because (a) Dr. Rubin did not perform
18 any E/M service that was significant and separately identifiable beyond the ESIs and the usual
19 preoperative and postoperative care that he performed on the same date; and (b) they constitute
20 an impermissible and fraudulent "unbundling" of the pre-service evaluation (*i.e.*, history and
21 physical) associated with the ESIs Dr. Rubin performed.

22 73. Additionally, none of the ESI operative reports purportedly dictated by Dr.
23 Rubin contain any discussion whatsoever of *any* pre-operative evaluation of the patient, much
24 less a significant, separately identifiable evaluation of the patient "above and beyond" the
25 usual pre-ESI evaluation, nor do other clinical records maintained by Provider Defendants
26 reflect any such evaluation. *See, e.g.*, Exs. 6-15. As such, each and every bill that Provider
27 Defendants prepared and presented, or caused to be presented, that represented that Dr. Rubin
28 performed a significant, separate and identifiable E/M service on the same visit as an ESI was
29 for a service that was never rendered. These false and fraudulent insurance claims are
30 summarized, in part, on Exhibit 1.

1 **5. Provider Defendants’ False and Fraudulent Claims for MRI**
2 **Interpretations**

3 74. As reflected on the chart attached as Exhibit 1, Provider Defendants knowingly
4 prepared and presented, or caused to be presented, false and fraudulent claims and writings
5 falsely representing that Dr. Rubin interpreted or provided a consulting opinion on each MRI
6 for identified patients. In fact, in each claim identified in the “False MRI Code” column of
7 Exhibit 1, Provider Defendants used a variety of CPT Codes (*e.g.*, 72141-26, 72148-26, 73223-
8 26, or 76140³) to describe the professional components of MRI interpretations and radiological
9 consultations Dr. Rubin purportedly performed. Provider Defendants’ claims typically
10 included additional charges of \$250 per false code, or a combination of them, to describe the
11 “diagnostic film review” purportedly performed by Dr. Rubin.

12 75. These claims were false and fraudulent because: (a) Dr. Rubin did not perform
13 any MRI (or x-ray) interpretation or consultation; and (b) even if he had performed such a
14 service, he did not provide an independent written report as required to justify billing for these
15 services. In fact, Dr. Rubin’s evaluation reports for patients with these false CPT Codes
16 identified in Exhibit 1 simply copied the findings and interpretations from the reading
17 radiologists’ reports. *See* Exs. 6-7, 10-11, 13, 15 (*compare* examples of Dr. Rubin’s reports
18 and reading radiologists’ reports). Indeed, as demonstrated in Exhibits 6-7, 10-11, 13, and 15,
19 Dr. Rubin’s office visit evaluation reports frequently acknowledge the patient’s MRI films
20 were “read” by a separate doctor (*i.e.*, the interpreting radiologist) and then describe the
21 “impressions” of the interpreting radiologist (not Dr. Rubin’s own findings from a review of
22 the MRI films). Moreover, Dr. Rubin testified in an April 4, 2018 deposition that while he
23 purportedly “review[s]” MRI films, he will “ultimately rely on the dictated reports by the
24 radiologist.” Simply repeating what another physician has done and putting it into one’s own
25 report does not justify billing separately (and duplicately) for the other physicians’ services.
26 Rather, it constitutes billing for services not rendered, which is false and fraudulent.

27 **C. Regulatory Oversight of ASC Defendants and Their Roles in the Scheme**

28 76. Newport Institute is an ASC subject to important laws and other legal
29 requirements designed to protect the public health, safety, and welfare. California law defines
30 a “clinic” as “an organized outpatient health facility that provides direct medical [or] surgical
31 ... advice, services, or treatment to patients who remain less than 24 hours.” Cal. Health &

32 ³ CPT Code 76140 applies to consultations on x-rays, not MRIs. Dr. Rubin was not performing any consultations on x-
rays, or MRIs, and so Provider Defendants’ use of CPT Code 76140 is improper for this additional reason.

1 Safety Code § 1200(a). A “surgical clinic” is defined as “a clinic that is not part of a hospital
2 and that provides ambulatory surgical care for patients who remain less than 24 hours.” Cal.
3 Health & Safety Code §§ 1200(b)(2), 1204(b)(1). An “outpatient setting” is defined as “any
4 facility, clinic, unlicensed clinic, center, office, or other setting that is not part of a general
5 acute care facility ... and where anesthesia, except local anesthesia or peripheral nerve blocks,
6 or both, is used in compliance with the community standard of practice, in doses that, when
7 administered have the probability of placing a patient at risk for loss of the patient’s life-
8 preserving protective reflexes.” Cal Health & Safety Code § 1248(b)(1). Accordingly,
9 Newport Institute, and any other ASC Defendants that constitute clinics, surgical clinics, or
10 outpatient settings, are subject to the laws and regulation governing those settings.

11 77. In California, “[n]o association, corporation, firm, partnership, or person shall
12 operate, manage, conduct, or maintain an outpatient setting in this state, unless the setting is ...
13 licensed under subdivision (b) of Section 1204 [of the California Health and Safety Code], ...
14 accredited by an accreditation agency approved by the [Medical Board of California],” or falls
15 into one of several other specifically identified categories, such as Medicare-certified and
16 United States government-run facilities. Cal. Health & Safety Code § 1248.1.

17 78. The California Health and Safety Code requires the Medical Board of California
18 to “adopt standards for accreditation and, in approving accreditation agencies to perform
19 accreditation of outpatient settings, shall ensure that the certification program shall, at a
20 minimum, include standards for [certain] aspects of the settings’ operations,” including that
21 the “[o]utpatient setting allied health staff shall be licensed or certified to the extent required
22 by state or federal law,” that the outpatient setting “shall have a system for facility safety . . .
23 maintaining clinical records ... for patient care and monitoring procedures ... [and] for quality
24 assessment and improvement,” the licensees who perform procedures in an accredited
25 outpatient setting shall be peer reviewed with the findings of the peer review to be reported to
26 the governing body of the outpatient setting, and the scope of procedures performed in the
27 outpatient setting shall be periodically reviewed and amended as appropriate. Cal. Health &
28 Safety Code § 1248.15. The California Health and Safety Code further provides that every
29 accredited outpatient setting shall be inspected by the accreditation agency at least every three
30 years. Cal. Health & Safety Code § 1248.35. Finally, the California Health and Safety Code
31 provides that an approved accreditation agency may adopt additional standards consistent with
32 California law. Cal. Health & Safety Code § 1248.85.

1 79. The Medical Board of California has approved five accreditation agencies,
2 including the AAAHC. As alleged above, Newport Institute is an ASC that is accredited by
3 the AAAHC.

4 80. The AAAHC requires that accredited facilities meet certain standards, including
5 with respect to oversight of professionals and procedures performed at their facilities.
6 Specifically, the AAAHC rules require, among other things, that each accredited ASC: (a)
7 establish a governing body that evaluates the quality of patient care and ensures that all
8 obligations under local, state and federal laws and regulations—including those addressing
9 fraud—are followed; (b) ensures the healthcare provided is consistent with the current standard
10 of care, and with patients' clinical impressions and diagnoses; (c) maintain governing bodies
11 to provide a peer review process, which includes the collection and evaluation of data "to
12 identify acceptable or unacceptable trends[.]"; (d) develop and maintain systems for the proper
13 collection, processing, maintenance, storage, retrieval, and distribution of clinical records; and
14 (e) maintain governing bodies to oversee a risk management program to address patient safety
15 that includes a periodic review of clinical records.

16 81. Thus, under California law and the AAAHC's requirements, ASC Defendants
17 were obligated to maintain, monitor, and review the documentation, utilization of, and
18 performance of procedures and services purportedly performed at Newport Institute to ensure
19 they were performed, were medically appropriate and necessary, and were properly
20 documented.

21 82. Dr. Rubin performed or purportedly performed each of the ESIs, myelograms,
22 epidurograms, or fluoroscopy procedures or services identified on Exhibit 1. Some of those
23 procedures were purportedly performed at Newport Institute. ASC Defendants prepared and
24 presented, or caused to be presented, their own false and fraudulent claims for facility fees for
25 procedures purportedly performed at Newport Institute by Dr. Rubin, which are separately
26 identified on Exhibit 2.

27 83. ASC Defendants owned, operated, and/or controlled Newport Institute.
28 Dr. Rubin has testified he is an owner of Newport Institute. *See* Ex. 3 at 19, 21; Ex. 4 at 24-
29 25. He is also the medical director of that ASC and has been identified as an officer and
30 director.

31 84. As shown in Exhibit 2, ASC Defendants prepared and presented, or caused to be
32 presented, Newport Institute's claims for the associated facility fees for myelography and

1 fluoroscopy that were false and fraudulent for the reasons discussed above. ASC Defendants
2 had affirmative legal duties pursuant to California law and the AAAHC's accreditation
3 requirements to oversee, monitor, and review the documentation and utilization of services
4 performed at Newport Institute, and ASC Defendants are legally accountable for the
5 procedures performed at Newport Institute and for the professionals who performed them.
6 Pursuant to the AAAHC's accreditation requirements, ASC Defendants were required to,
7 among other things, evaluate the quality of patient care and ensure that all local, state and
8 federal laws and regulations—including those addressing fraud—were followed, ensure that
9 the healthcare provided was consistent with the clinical impression and diagnosis, provide a
10 process of peer review that includes the evaluation of data to identify unacceptable trends, and
11 maintain a system for the proper collection and maintenance of clinical records.

12 85. Based on ASC Defendants' above-described legal duties and accreditation
13 requirements, and given Dr. Rubin's ownership and control of Newport Institute, ASC
14 Defendants knew at the very least that: (a) Dr. Rubin frequently purported to perform
15 epidurography and fluoroscopy at Newport Institute in connection with ESIs; (b) the operative
16 reports, which are signed by Dr. Rubin and are on Newport Institute's stationery, do not
17 document the necessity of the myelography or epidurography procedures Dr. Rubin
18 purportedly performed, let alone the necessity of performing the same procedures multiple
19 times on the same regions of the spine on the same patients; (c) the operative reports also did
20 not contain detailed reports interpreting any epidurograms or myelograms as required for the
21 facilities to bill for epidurography or myelography; and (d) Newport Institute was not
22 permitted to charge separately for fluoroscopy where it also submitted charges for
23 transforaminal ESIs or interlaminar ESIs during the specific time periods alleged above.
24 Moreover, to the extent permanent image recordings of the epidurograms and myelograms
25 were not taken or maintained, as is required to present a separate charge for epidurography or
26 myelography, ASC Defendants would have known their charges for these procedures were
27 false and fraudulent for this reason as well. Indeed, as reflected in Exhibits 1 and 2, Provider
28 Defendants very rarely billed for myelography procedures and Dr. Rubin's operative reports
29 rarely (if ever) discussed myelography, yet ASC Defendants included false and fraudulent
30 charges for myelography services that were not performed, or were not medically necessary.

31 86. Despite their knowledge that the procedures were not properly documented,
32 performed, or medically necessary, ASC Defendants prepared and presented, or caused to be

presented, bills with substantial charges for these services. Dr. Rubin's use of ASC Defendants' facilities to perform the purported myelography associated with the ESIs Dr. Rubin performed enabled ASC Defendants to present their own charges and profit from the procedures. Furthermore, ASC Defendants submitted bills with charges for fluoroscopy that were improperly unbundled from charges for transforaminal and interlaminar ESIs, as alleged above, when ASC Defendants knew that it was improper to do so because unbundling these services constituted double billing and allowed them to further profit from Dr. Rubin's procedures.

VII. AN OVERVIEW OF THE SCHEME: THE WHO, WHAT, WHEN, WHERE, AND HOW OF DEFENDANTS' FALSE AND FRAUDULENT CLAIMS AND WRITINGS

A. Defendants Knowingly Made Each of the False and Fraudulent Claims or Writings

87. Provider Defendants and ASC Defendants knowingly prepared and presented, or caused to be presented, to Relator SFMAIC the false and fraudulent claims or writings set forth on the charts attached hereto as Exhibits 1 and 2, respectively, and incorporated herein by reference. These charts include a column labeled "Billing Entity" (Ex. 1) or "Surgery Center" (Ex. 2) which identifies the specific entity or person on whose behalf the fraudulent claim or writing was knowingly prepared and presented, or caused to be presented.

88. As detailed above, Dr. Rubin purported to perform each of the professional services at issue in this case, namely: (a) significant, separately identifiable E/M services; (b) epidurography, myelography, and fluoroscopy procedures; and (c) MRI interpretations or consultations that are at issue in this action. He purported to perform these procedures at either his own office or at an ASC, including Newport Institute, which he and his significant other own and control. Newport Institute purported to provide facility services for some of Dr. Rubin's pain management procedures. Provider Defendants and ASC Defendants prepared and presented, or caused to be presented, separate bills, claims, and writings for professional services and facility services, respectively, to Relator SFMAIC, and very likely other insurers.

89. Provider Defendants – Dr. Rubin, Rubin Inc., and Does 1 through 10 – each knowingly prepared and presented, or caused to be presented, to Relator SFMAIC the false and fraudulent claims and writings for professional fees set forth on Exhibit 1 attached hereto. ASC Defendants – Dr. Rubin, Newport Institute, and Does 11 through 20 – each knowingly

1 prepared and presented, or caused to be presented, to Relator SFMAIC the false and fraudulent
2 claims and writings for facility fees set forth on Exhibit 2 attached hereto.

3 **B. The Timing and Content of Each False and Fraudulent Claim or Writing Is**
4 **Described with Particularity**

5 90. The timing and content of each false and fraudulent claim or writing Defendants
6 knowingly prepared and presented, or caused to be presented, to Relator SFMAIC is
7 specifically identified in the charts attached hereto as Exhibits 1 and 2, and incorporated herein
8 by reference.

9 91. As described in detail above, each CPT Code identified in Exhibits 1 and 2 in
10 the “False Fluoroscopy Code” columns, namely CPT Codes 77003, 77003-26, and 77003-TC,
11 and their corresponding charges identified in the “False Fluoroscopy Charges” columns, is
12 false and fraudulent because Defendants’ use of these CPT Codes misrepresented that a
13 separate charge for fluoroscopy could lawfully be submitted, when it could not be because
14 fluoroscopy was bundled into another CPT Code charged by Defendants.

15 92. As described in detail above, each CPT Code identified in Exhibit 1 in the “False
16 Epidurography/Myelography Code” column, namely CPT Codes 72240, 72265, 72265-26, and
17 72275, and corresponding charges identified in the “False Epidurography/Myelography
18 Charge” column is false and fraudulent because Provider Defendants’ use of these CPT Codes
19 misrepresented that these procedures were performed and were medically necessary, when they
20 were not. Similarly, as described in detail above, each CPT Code identified in Exhibit 2 in the
21 “False Myelography Code” column, namely CPT Codes 72240 and 72265, and corresponding
22 charges identified in the “False Myelography Charge” column, is false and fraudulent because
23 ASC Defendants’ use of these CPT Codes misrepresented that these procedures were
24 performed and were medically necessary, when they were not

25 93. As described in detail above, the CPT Code identified in Exhibit 1 in the “False
26 E/M Code” column, CPT Code 99241-25, and its corresponding charges identified in the
27 “False E/M Charges” column is false and fraudulent because Provider Defendants’ use of these
28 CPT Codes misrepresented that significant, separately identifiable E/M services were
29 performed and were medically necessary, when they were not.

30 94. As described in detail above, each CPT Code identified in Exhibit 1 in the “False
31 MRI Code” column, namely CPT Codes 72141-26, 72148-26, 73223-26, and 76140, and
32 corresponding charges identified in the “False MRI Charge” column, is false and fraudulent

1 because Provider Defendants' use of these CPT Codes misrepresented that these MRI related
2 services were actually performed and were medically necessary, when they were not.

3 95. Each false and fraudulent claim or writing set forth in the charts attached as
4 Exhibits 1 and 2 and incorporated herein by reference was knowingly prepared and presented,
5 or caused to be presented, to Relator SFMAIC by Provider Defendants and ASC Defendants,
6 respectively.

7 96. The specific dates on which Defendants purportedly performed the services and
8 procedures at issue, which resulted in Defendants knowingly preparing and presenting, or
9 causing to be presented, each false and fraudulent claim that was presented to Relator
10 SFMAIC, are set forth in the columns titled "Date of Service" in Exhibits 1 and 2 and
11 incorporated by reference.⁴

12 **FIRST CAUSE OF ACTION**

13 (California Insurance Frauds Prevention Act)
14 (California Insurance Code Section 1871.7(b))
15 (Against Sonny Rubin, M.D., Sonny Rubin M.D., Inc., and Does 1 through 10, Inclusive)

16 97. Relator SFMAIC re-alleges and incorporates paragraphs 1 through 96 above as
17 though fully set forth herein.

18 98. This is a claim for civil penalties and an assessment of no more than three times
19 the amount of each fraudulent claim Provider Defendants prepared and presented, or caused to
20 be presented, to Relator SFMAIC (and very likely other insurers), as well as equitable relief
21 and other remedies available under Cal. Ins. Code §§ 1871.7(b) and (g).

22 99. As set forth herein, Provider Defendants violated Sections 550(a)(1) and (a)(5)
23 of the California Penal Code each time they knowingly: (a) presented, or caused to be
24 presented, any false and fraudulent claim for the payment of a loss or injury under a contract
25 of insurance, including the claims summarized on Exhibit 1, and (b) prepared, made, or
26 subscribed any bill and corresponding report, including the claims summarized on Exhibit 1,
27 with the intent to present or use them, or to allow them to be presented, in support of false and
28 fraudulent claims.

29 100. Pursuant to Cal. Ins. Code § 1871.7(b), Provider Defendants are liable for civil
30 penalties of \$5,000 to \$10,000 for each fraudulent claim they knowingly prepared and
31

32 ⁴ Defendants generally did not date their bills, invoices, or statements, making further temporal specificity impossible to
allege. See Exs. 6-15.

1 presented, or caused to be presented, to Relator SFMAIC (and very likely to other insurers),
2 including the claims summarized in Exhibit 1, as well as an assessment of no more than three
3 times the amount of each such claim. As a result, based solely on the claims set forth in
4 Exhibit 1, Provider Defendants are liable for civil penalties of at least \$1.6 million, but could
5 be liable for up to \$6.7 million based solely on claims identified in Exhibit 1 by Relator
6 SFMAIC.

7 101. Pursuant to Cal. Ins. Code § 1871.7(b), Relator SFMAIC is entitled to other
8 equitable relief based upon Provider Defendants' violations of Cal. Penal Code §§ 550(a)(1)
9 and (a)(5), including an injunction, as is necessary to prevent the transfer, concealment, or
10 dissipation of illegal proceeds, or to protect the public.

11 102. Relator SFMAIC is also entitled to any additional relief authorized by Cal. Ins.
12 Code § 1871.7(g), including an appropriate percentage of the proceeds of the action or
13 settlement, as well as of its cost, expenses and reasonable attorney's fees.

14 **SECOND CAUSE OF ACTION**

15 **(California Insurance Frauds Prevention Act)**
16 **(California Insurance Code Section 1871.7(b))**
17 **(Against Sonny Rubin, M.D., Newport Institute of Minimally Invasive Surgery, and Does**
18 **11 through 20, Inclusive)**

19 103. Relator SFMAIC re-alleges and incorporates paragraphs 1 through 96 above as
20 though fully set forth herein.

21 104. This is a claim for civil penalties and an assessment of no more than three times
22 the amount of each fraudulent claim Dr. Rubin, Newport Institute, and Does 11 through 20,
23 inclusive, prepared and presented, or caused to be presented, to Relator SFMAIC (and very
24 likely other insurers), as well as equitable relief and other remedies available under Cal. Ins.
25 Code §§ 1871.7(b) and (g).

26 105. As set forth herein, Dr. Rubin, Newport Institute, and Does 11 through 20,
27 inclusive, violated Sections 550(a)(1) and (a)(5) of the California Penal Code each time they
28 knowingly: (a) presented, or caused to be presented, any false and fraudulent claim for the
29 payment of a loss or injury under a contract of insurance, including the claims summarized on
30 Exhibit 2, and (b) prepared, made, or subscribed any bill and corresponding report, including
31 the claims summarized on Exhibit 1, with the intent to present or use them, or to allow them to
32 be presented, in support of false and fraudulent claims.

106. Pursuant to Cal. Ins. Code § 1871.7(b), Dr. Rubin, Newport Institute, and Does 11 through 20, inclusive, are liable for civil penalties of \$5,000 to \$10,000 for each fraudulent claim they knowingly prepared and presented, or caused to be presented, to Relator SFMAIC (and very likely to other insurers), including the claims summarized in Exhibit 2, as well as an assessment of no more than three times the amount of each such claim. As a result, based solely on the claims set forth in Exhibit 2, Dr. Rubin, Newport Institute, and Does 11 through 20, inclusive, are liable for civil penalties of at least \$655,000, but could be liable for up to \$2.7 million based solely on claims identified in Exhibit 2 by Relator SFMAIC.

107. Pursuant to Cal. Ins. Code § 1871.7(b), Relator SFMAIC is entitled to other equitable relief based upon Dr. Rubin's, Newport Institute's, and Does 11 through 20's, inclusive, violations of Cal. Penal Code §§ 550(a)(1) and (a)(5), including an injunction, as is necessary to prevent the transfer, concealment, or dissipation of illegal proceeds, or to protect the public.

108. Relator SFMAIC is also entitled to any additional relief authorized by Cal. Ins. Code § 1871.7(g), including an appropriate percentage of the proceeds of the action or settlement, as well as of its cost, expenses and reasonable attorney's fees

PRAYER FOR RELIEF

WHEREFORE, Relator SFMAIC prays for the following relief:

109. Judgment including civil penalties of not less than \$5,000 nor more than \$10,000 for each false and fraudulent claim Defendants knowingly prepared and presented, or caused to be presented, to Relator SFMAIC (and very likely other insurers), including the claims summarized on Exhibits 1 and 2, in violation of Cal. Penal Code §§ 550(a)(1) and (a)(5);

110. Judgment including an assessment of no more than three times the amount of each fraudulent claim Defendants knowingly prepared and presented, or caused to be presented, to Relator SFMAIC (and very likely other insurers), including the claims summarized on Exhibits 1 and 2, in violation of Cal. Penal Code §§ 550(a)(1) and (a)(5);

111. An award of an appropriate percentage of the proceeds of this action or settlement, plus Relator SFMAIC's costs, expenses, and reasonable attorney's fees, pursuant to Cal. Ins. Code § 1871.7(g);

112. Such other relief as the Court may deem just and proper.

1 **DEMAND FOR JURY TRIAL**

2

3 Relator SFMAIC hereby demands that this matter be tried by jury.

4

5

6 Dated: October 23, 2019

Respectfully submitted,

7 KATTEN MUCHIN ROSENMAN LLP

8 
9 _____
10 RYAN M. FAWAZ

11 Attorneys for Plaintiff-Relator
12 STATE FARM MUTUAL AUTOMOBILE
13 INSURANCE COMPANY
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Exhibit 1

Exhibit 1 - Provider Defendants' False and Fraudulent Claims

Patient Number	Claim Number	Patient Initials	Date of Service	Billing Entity	Procedure	False E/M Code	False E/M Charge	False Fluoroscopy Code	False Fluoroscopy Charge	False Epidurography / Myelography Code	False Epidurography / Myelography Charge	False MRI Code	False MRI Charge
1	28626H150	CLR	6/17/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			76140	\$250.00
2	757Q29548	JAM	1/19/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			76140	\$500.00
3	756N82148	MA	1/27/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			76140	\$500.00
4	757K89301	EC	3/4/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			76140	\$500.00
5	75757K453	MF	3/10/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			76140	\$500.00
6	556V38022	EMG	4/1/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			76140	\$250.00
7	75637Z850	JCA	4/12/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			76140	\$500.00
1	28626H150	CLR	6/3/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
2	757Q29548	JAM	2/23/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
5	75757K453	MF	4/21/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
5	75757K453	MF	6/23/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
6	556V38022	EMG	5/19/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
6	556V38022	EMG	6/2/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
7	75637Z850	JCA	5/24/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
8	75433K195	MW	12/16/2014	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
8	75433K195	MW	4/1/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
8	75433K195	MW	12/9/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
9	75638B637	CH	7/14/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
9	75638B637	CH	8/11/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
9	75638B637	CH	9/15/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
10	755256069	JL	8/7/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
10	755256069	JL	12/14/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
11	75644T689	CL	8/7/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
11	75644T689	CL	8/18/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
11	75644T689	CL	9/29/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
12	75687M507	MF	9/23/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
12	75687M507	MF	10/14/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
12	75687M507	MF	11/11/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
13	756287805	KL	11/24/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
13	756287805	KL	12/8/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
14	75497R001	TS	11/25/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
14	75497R001	TS	2/11/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
15	756X16769	UCE	12/8/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
16	75713T547	EP	12/11/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
17	75709T727	VG	12/29/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
17	75709T727	VG	1/12/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
17	75709T727	VG	3/15/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
18	757J55666	SEN	1/8/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
18	757J55666	SEN	2/25/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
18	757J55666	SEN	4/21/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
19	557G62129	TT	1/12/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
20	75734X812	LTM	1/13/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
21	75769W207	BG	1/14/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
22	75686T449	JB	1/19/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
23	755R06320	GH	1/20/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
23	755R06320	GH	3/10/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
23	755R06320	GH	4/28/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
24	757R04535	HH	1/21/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
24	757R04535	HH	3/10/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
25	757G06086	AH	1/27/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
25	757G06086	AH	5/11/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
26	75617G446	GM	1/27/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
26	75617G446	GM	2/10/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
26	75617G446	GM	2/24/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A

Exhibit 1 - Provider Defendants' False and Fraudulent Claims

Patient Number	Claim Number	Patient Initials	Date of Service	Billing Entity	Procedure	False E/M Code	False E/M Charge	False Fluoroscopy Code	False Fluoroscopy Charge	False Epidurography / Myelography Code	False Epidurography / Myelography Charge	False MRI Code	False MRI Charge
27	476V07898	AB	2/2/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
28	757M71503	JA	2/2/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
28	757M71503	JA	2/23/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
28	757M71503	JA	4/26/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
29	756H77560	KV	2/10/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
30	757Q20978	DS	2/10/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
31	757B48569	JAP	2/11/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
32	75773K358	BR	2/16/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
33	757Q16188	LB	2/18/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
34	757F12397	JC	3/1/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
34	757F12397	JC	3/29/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
34	757F12397	JC	5/5/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
35	75719G082	JQT	3/9/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
35	75719G082	JQT	4/6/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
36	75665H233	APL	3/10/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
37	75804T729	MK	3/10/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
37	75804T729	MK	7/14/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
37	75804T729	MK	8/12/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
38	757Q09675	JB	3/23/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
39	756M09484	LEZ	3/31/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
40	75757K453	MH	3/31/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
40	75757K453	MH	4/14/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
40	75757K453	MH	5/12/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
41	757K89301	CE	4/1/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
41	757K89301	CE	4/28/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
41	757K89301	CE	6/16/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
42	757S05252	ME	4/12/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
42	757S05252	ME	7/12/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
43	757Q35297	AR	4/26/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
44	753V06166	MSC	4/27/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
45	757R81476	ISV	4/28/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
45	757R81476	ISV	7/21/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
45	757R81476	ISV	8/11/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
46	75792T184	JJM	5/3/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
46	75792T184	JJM	5/31/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
46	75792T184	JJM	6/21/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
47	75754R901	NF	5/5/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
47	75754R901	NF	5/19/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
47	75754R901	NF	6/2/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
48	75818M243	PG	5/24/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
48	75818M243	PG	6/14/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
49	75799C690	JC	6/2/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
50	755L32152	SGJ	6/7/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
51	75792P321	OPC	6/15/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
52	75870M875	PRS	7/5/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
52	75870M875	PRS	7/19/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
53	55836W137	AK	7/13/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
53	55836W137	AK	9/7/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
54	75833Q731	BW	7/14/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
55	75887C540	AN	7/14/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
55	75887C540	AN	9/30/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
55	75887C540	AN	10/12/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
56	758F91639	KRW	7/21/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
56	758F91639	KRW	8/12/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A

Exhibit 1 - Provider Defendants' False and Fraudulent Claims

Patient Number	Claim Number	Patient Initials	Date of Service	Billing Entity	Procedure	False E/M Code	False E/M Charge	False Fluoroscopy Code	False Fluoroscopy Charge	False Epidurography / Myelography Code	False Epidurography / Myelography Charge	False MRI Code	False MRI Charge
56	758F91639	KRW	8/26/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
57	7545F4002	JCE	8/10/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
57	7545F4002	JCE	8/24/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
58	758K96527	AHN	8/11/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
59	759038729	JV	8/12/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
60	75870C872	CL	8/16/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
61	75896D928	NDB	8/18/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
61	75896D928	NDB	9/7/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
61	75896D928	NDB	9/21/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
62	557N78871	RT	8/23/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
63	75889J702	RM	8/24/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
63	75889J702	RM	9/14/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
63	75889J702	RM	9/28/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
64	757R00916	FSC	8/26/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
64	757R00916	FSC	9/15/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
64	757R00916	FSC	9/28/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
65	758L69803	AA	8/26/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
65	758L69803	AA	9/22/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
65	758L69803	AA	10/6/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
66	757T79355	MWH	8/31/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
66	757T79355	MWH	10/12/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
66	757T79355	MWH	10/27/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
67	75914X651	DCP	9/7/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
67	75914X651	DCP	9/21/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
67	75914X651	DCP	10/5/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
68	75878W139	KYD	9/13/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
69	758P54703	CCH	9/14/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
69	758P54703	CCH	9/15/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
69	758P54703	CCH	10/20/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
70	7546R7547	OAG	9/20/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
70	7546R7547	OAG	10/11/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
70	7546R7547	OAG	10/25/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
71	758F48667	ST	9/30/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
71	758F48667	ST	10/12/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
71	758F48667	ST	10/26/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
72	75956N249	VW	10/11/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
72	75956N249	VW	11/8/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
72	75956N249	VW	11/29/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
72	75956N249	VW	1/10/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
73	757X26691	MH	10/12/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
73	757X26691	MH	10/27/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
73	757X26691	MH	11/17/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
74	75956N249	AMH	10/18/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
74	75956N249	AMH	11/8/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
74	75956N249	AMH	1/10/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
75	75958T443	JO	10/26/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
75	75958T443	JO	11/10/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
75	75958T443	JO	12/7/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
76	55964H134	LL	10/27/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
77	757Q09224	ES	11/2/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
77	757Q09224	ES	11/16/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
77	757Q09224	ES	11/30/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
78	75784Z590	RR	11/8/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
79	75889G502	JS	11/10/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A

Exhibit 1 - Provider Defendants' False and Fraudulent Claims

Patient Number	Claim Number	Patient Initials	Date of Service	Billing Entity	Procedure	False E/M Code	False E/M Charge	False Fluoroscopy Code	False Fluoroscopy Charge	False Epidurography / Myelography Code	False Epidurography / Myelography Charge	False MRI Code	False MRI Charge
80	058L56255	BD	11/16/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
80	058L56255	BD	11/30/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
80	058L56255	BD	12/14/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
81	758L49343	DMP	11/17/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
81	758L49343	DMP	12/1/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
81	758L49343	DMP	12/22/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
81	758L49343	DMP	1/12/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
81	758L49343	DMP	1/26/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
81	758L49343	DMP	2/9/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
82	55998W427	DK	11/17/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
82	55998W427	DK	1/12/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
83	75958T443	AR	11/29/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
84	75954M068	KDL	11/29/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
85	759C73066	SD	12/13/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
86	75973C093	DA	12/21/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
86	75973C093	DA	1/4/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
86	75973C093	DA	1/25/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
87	75992X809	AJ	12/21/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
87	75992X809	AJ	1/11/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
87	75992X809	AJ	1/26/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
88	75944K813	BH	1/4/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
88	75944K813	BH	1/25/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
88	75944K813	BH	2/20/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
89	2000660W9	RC	1/4/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
89	2000660W9	RC	1/18/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
90	750073988	AW	1/5/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
91	75947L308	TJ	1/10/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
92	75978R412	MM	1/12/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
93	75866S556	MMA	1/23/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
94	12934Z744	YE	1/24/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
94	12934Z744	YE	2/21/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
94	12934Z744	YE	3/14/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
95	759C39978	JOM	2/7/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
96	75928H526	BL	2/14/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	77003	\$1,400.00			N/A	N/A
97	55375G777	LM	6/27/2014	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	76140	\$500.00
99	55375G777	RM	12/11/2014	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	76140	\$250.00
101	754Z06344	RD	3/10/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	76140	\$500.00
106	753M95392	CDL	7/1/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	76140	\$500.00
97	55375G777	LM	8/26/2014	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
99	55375G777	RM	12/17/2014	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
99	55375G777	RM	1/13/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
101	754Z06344	RD	4/1/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
101	754Z06344	RD	4/22/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
106	753M95392	CDL	7/15/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
106	753M95392	CDL	7/29/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
108	753C32936	ILC	4/16/2014	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
108	753C32936	ILC	5/7/2014	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$500.00	N/A	N/A
110	7530F0412	BBB	7/2/2014	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
110	7530F0412	BBB	7/23/2014	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
110	7530F0412	BBB	11/19/2014	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
111	75464S551	JD	8/13/2014	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
111	75464S551	JD	9/10/2014	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
111	75464S551	JD	10/8/2014	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
112	7526G7977	IG	8/20/2014	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A

Exhibit 1 - Provider Defendants' False and Fraudulent Claims

Patient Number	Claim Number	Patient Initials	Date of Service	Billing Entity	Procedure	False E/M Code	False E/M Charge	False Fluoroscopy Code	False Fluoroscopy Charge	False Epidurography / Myelography Code	False Epidurography / Myelography Charge	False MRI Code	False MRI Charge
112	7526G7977	IG	9/3/2014	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
112	7526G7977	IG	10/8/2014	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
113	753W61577	MT	10/8/2014	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
113	753W61577	MT	10/22/2014	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
115	754X36318	KH	11/26/2014	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
115	754X36318	KH	12/17/2014	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
115	754X36318	KH	10/7/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
116	754Q62842	SL	11/26/2014	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
116	754Q62842	SL	12/3/2014	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
116	754Q62842	SL	12/10/2014	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
117	754P72532	RKO	12/3/2014	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
117	754P72532	RKO	12/17/2014	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
117	754P72532	RKO	12/31/2014	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
118	75366L850	KH	12/16/2014	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
118	75366L850	KH	12/30/2014	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
118	75366L850	KH	1/14/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
120	75538M701	DAD	12/30/2014	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
120	75538M701	DAD	1/27/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
120	75538M701	DAD	2/24/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
123	754Z73562	BM	12/31/2014	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
123	754Z73562	BM	1/7/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
123	754Z73562	BM	1/28/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
125	7536M3673	SBM	1/14/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
125	7536M3673	SBM	1/21/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
126	75484W073	CB	1/27/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
126	75484W073	CB	2/24/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
127	755L19891	CL	3/18/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
127	755L19891	CL	4/1/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
128	755L19891	RDG	3/18/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
128	755L19891	RDG	4/1/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
129	75453X796	DS	2/5/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
129	75453X796	DS	2/25/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
130	755L48680	BM	2/5/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
131	755B30793	AR	2/10/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
131	755B30793	AR	4/7/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
131	755B30793	AR	5/19/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
132	7527V8820	GS	5/6/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
137	753W03339	NM	3/11/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
137	753W03339	NM	3/25/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
142	75561R494	JA	4/1/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
145	06569R549	RRS	5/7/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
145	06569R549	RRS	5/15/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
145	06569R549	RRS	6/11/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
147	055K14134	SBY	5/13/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
148	555S02546	RAS	5/15/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
148	555S02546	RAS	7/2/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
151	75557X370	MA	8/13/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
159	376F12815	JF	6/15/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
159	376F12815	JF	8/13/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
160	75377J825	AJ	6/24/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
162	75623P714	MR	6/30/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
168	756H69305	SR	8/5/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
168	756H69305	SR	9/16/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
168	756H69305	SR	9/30/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A

Exhibit 1 - Provider Defendants' False and Fraudulent Claims

Patient Number	Claim Number	Patient Initials	Date of Service	Billing Entity	Procedure	False E/M Code	False E/M Charge	False Fluoroscopy Code	False Fluoroscopy Charge	False Epidurography / Myelography Code	False Epidurography / Myelography Charge	False MRI Code	False MRI Charge
174	55638J598	JG	11/5/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
174	55638J598	JG	11/19/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
174	55638J598	JG	12/3/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
175	55638J598	TG	9/24/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
175	55638J598	TG	10/9/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
175	55638J598	TG	10/22/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
178	75640S322	MH	10/13/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
178	75640S322	MH	2/2/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
178	75640S322	MH	5/24/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
179	536G98553	ST	10/21/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
179	536G98553	ST	11/11/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
179	536G98553	ST	11/25/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
180	75609S410	AM	10/28/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
184	5528N9178	AH	11/11/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
185	7527P2414	AH	11/11/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
186	75711V841	PO	11/24/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A	72275	\$1,400.00	N/A	N/A
40	75757K453	MH	2/11/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			76140	\$500.00
189	056W94130	MN	1/19/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			76140	\$250.00
190	757R38654	DB	3/10/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			76140	\$250.00
191	75808F614	JS	4/14/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			76140	\$500.00
187	75814F245	YA	2/17/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			72141-26	\$250.00
188	75808L613	RF	5/6/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			72141-26	\$250.00
1	28626H150	CLR	9/2/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
1	28626H150	CLR	2/10/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
9	75638B637	CH	12/15/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
9	75638B637	CH	1/19/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
11	75644T689	CL	10/27/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
18	757J55666	SEN	5/12/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
18	757J55666	SEN	5/26/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
18	757J55666	SEN	6/9/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
34	757F12397	JC	6/30/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
37	75804T729	MK	9/7/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
37	75804T729	MK	9/22/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
38	757Q09675	JB	4/6/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
40	75757K453	MH	2/25/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
40	75757K453	MH	3/17/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
47	75754R901	NF	3/3/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
47	75754R901	NF	3/24/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
47	75754R901	NF	4/21/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
55	75887C540	AN	11/2/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
55	75887C540	AN	11/16/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
55	75887C540	AN	11/30/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
56	758F91639	KRW	5/19/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
56	758F91639	KRW	6/2/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
56	758F91639	KRW	6/16/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
57	7545F4002	JCE	5/18/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
57	7545F4002	JCE	6/9/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
57	7545F4002	JCE	6/29/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
61	75896D928	NDB	11/2/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
61	75896D928	NDB	11/16/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
61	75896D928	NDB	11/30/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
67	75914X651	DCP	11/16/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
67	75914X651	DCP	12/1/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
67	75914X651	DCP	12/14/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A

Exhibit 1 - Provider Defendants' False and Fraudulent Claims

Patient Number	Claim Number	Patient Initials	Date of Service	Billing Entity	Procedure	False E/M Code	False E/M Charge	False Fluoroscopy Code	False Fluoroscopy Charge	False Epidurography / Myelography Code	False Epidurography / Myelography Charge	False MRI Code	False MRI Charge
77	757Q09224	ES	8/17/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
77	757Q09224	ES	8/31/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
77	757Q09224	ES	9/14/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
80	058L56255	BD	8/11/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
80	058L56255	BD	9/28/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
80	058L56255	BD	11/2/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
89	200660W9	RC	12/22/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
93	758665556	MMA	9/28/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
93	758665556	MMA	10/14/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
108	753C32936	ILC	3/19/2014	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
178	756405322	MH	11/24/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
187	75814F245	YA	6/29/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
190	757R38654	DB	5/12/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
193	75646Q957	CA	10/29/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
193	75646Q957	CA	11/11/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
193	75646Q957	CA	12/3/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
194	756405322	AH	11/24/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
194	756405322	AH	2/2/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
194	756405322	AH	5/31/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
195	757F12721	RG	12/16/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
195	757F12721	RG	12/30/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
195	757F12721	RG	1/27/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
196	756M44598	GRS	1/5/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
197	75696H958	JAU	1/12/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
197	75696H958	JAU	1/19/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
197	75696H958	JAU	2/2/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
198	75561K663	KC	1/12/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
198	75561K663	KC	3/10/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
199	75794D102	AT	1/19/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
200	756Z39487	MZ	1/19/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
201	75578H927	CM	1/20/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
201	75578H927	CM	2/3/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
201	75578H927	CM	2/24/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
202	757J41207	BTW	2/2/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
202	757J41207	BTW	3/30/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
202	757J41207	BTW	4/21/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
203	7506689Q5	SC	2/2/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
203	7506689Q5	SC	2/23/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
203	7506689Q5	SC	3/29/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
204	067L16177	LCB	2/3/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
204	067L16177	LCB	2/11/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
205	755L35190	IV	2/10/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
206	75752N047	IC	2/11/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
206	75752N047	IC	3/4/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
206	75752N047	IC	4/28/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
207	757N62847	MM	2/11/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
207	757N62847	MM	3/24/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
207	757N62847	MM	5/5/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
208	757L94922	JCR	2/18/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
209	757M62036	JM	2/24/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
209	757M62036	JM	3/16/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
209	757M62036	JM	9/29/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
210	757S63684	JL	3/10/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
210	757S63684	JL	3/17/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A

Exhibit 1 - Provider Defendants' False and Fraudulent Claims

Patient Number	Claim Number	Patient Initials	Date of Service	Billing Entity	Procedure	False E/M Code	False E/M Charge	False Fluoroscopy Code	False Fluoroscopy Charge	False Epidurography / Myelography Code	False Epidurography / Myelography Charge	False MRI Code	False MRI Charge
210	757S63684	JL	4/14/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
210	757S63684	JL	5/12/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
211	757G34868	SO	3/15/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
212	757L12369	RR	3/15/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
213	757P08938	MC	3/29/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
213	757P08938	MC	5/17/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
214	75792T184	CR	3/29/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
214	75792T184	CR	6/30/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
214	75792T184	CR	8/9/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
215	757R81476	RVG	4/14/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
215	757R81476	RVG	4/28/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
216	75800V509	MH2	4/21/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
217	75800V509	SH	4/21/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
218	75738T769	YN	4/28/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
219	757T99720	MV	5/17/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
219	757T99720	MV	5/31/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
220	757L12369	SW	5/19/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
221	55850P069	RS	5/31/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
221	55850P069	RS	6/16/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
221	55850P069	RS	7/21/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
222	75820Q402	MIP	6/1/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
223	756L75752	DP	6/8/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
223	756L75752	DP	7/13/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
223	756L75752	DP	8/10/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
224	7541V0713	GG	6/9/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
225	75839T836	SF	6/15/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
225	75839T836	SF	6/29/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
226	75756L357	RB	6/21/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
226	75756L357	RB	8/10/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
227	758F71031	TM	6/22/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
227	758F71031	TM	7/6/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
227	758F71031	TM	7/20/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
228	757C47175	JH	6/23/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
228	757C47175	JH	8/24/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
229	758H94495	JF	6/30/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
229	758H94495	JF	7/21/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
230	75807K927	FM	7/5/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
231	758J13107	NB	7/7/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
231	758J13107	NB	7/21/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
232	75764R573	EBZ	8/9/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
232	75764R573	EBZ	9/27/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
232	75764R573	EBZ	12/6/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
233	75889J702	AM	8/24/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
233	75889J702	AM	9/14/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
233	75889J702	AM	9/28/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
234	53868T826	LMS	8/30/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
235	75767D816	GP	8/30/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
235	75767D816	GP	12/13/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
236	55861G050	MD	9/8/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
237	75945Q176	JV	10/4/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
237	75945Q176	JV	10/18/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
237	75945Q176	JV	11/1/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
238	759846125	MAR	11/15/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
239	55698D079	EH	11/21/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A

Exhibit 1 - Provider Defendants' False and Fraudulent Claims

Patient Number	Claim Number	Patient Initials	Date of Service	Billing Entity	Procedure	False E/M Code	False E/M Charge	False Fluoroscopy Code	False Fluoroscopy Charge	False Epidurography / Myelography Code	False Epidurography / Myelography Charge	False MRI Code	False MRI Charge
240	75927H772	VL	12/1/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
241	75974G966	SE	12/1/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
241	75974G966	SE	12/21/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
242	75843J146	MF	12/6/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
243	759D38688	MR	12/6/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical	99241-25	\$495.00	N/A	N/A			N/A	N/A
98	754M19677	CH	12/3/2014	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	76140	\$250.00
100	75424Z925	JC	3/10/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	76140	\$500.00
102	75564H716	AT	3/10/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	76140	\$250.00
103	75567R523	LPV	3/26/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	76140	\$250.00
104	75567X750	HH	3/26/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	76140	\$500.00
105	755N94693	LT	6/19/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	76140	\$250.00
107	75645P056	TV	8/11/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	76140	\$250.00
97	55375G777	LM	10/16/2014	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
99	55375G777	RM	10/2/2014	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
99	55375G777	RM	10/16/2014	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
99	55375G777	RM	11/26/2014	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
101	754Z06344	RD	5/20/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
101	754Z06344	RD	6/17/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
101	754Z06344	RD	7/8/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
102	75564H716	AT	3/20/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
102	75564H716	AT	4/2/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
102	75564H716	AT	4/17/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
103	75567R523	LPV	4/15/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
104	75567X750	HH	9/3/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
107	75645P056	TV	9/29/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
108	753C32936	ILC	1/2/2014	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
108	753C32936	ILC	1/22/2014	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
108	753C32936	ILC	2/5/2014	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
109	75372L961	MR	1/22/2014	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
109	75372L961	MR	2/5/2014	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
109	75372L961	MR	2/19/2014	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
114	75525H115	GN	11/19/2014	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
114	75525H115	GN	12/3/2014	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
119	75525T292	BN	12/30/2014	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
121	75530V203	SB	12/30/2014	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
121	75530V203	SB	1/13/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
122	75528D971	CM	12/31/2014	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
124	7534K0619	SD	1/13/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
124	7534K0619	SD	1/23/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
127	755L19891	CL	2/4/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
127	755L19891	CL	4/15/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
128	755L19891	RDG	2/4/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
128	755L19891	RDG	2/25/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
128	755L19891	RDG	4/22/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
130	755L48680	BM	2/26/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
132	7527V8820	GS	2/11/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
133	757B50105	JS	2/12/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
134	75564H716	FJY	2/26/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
134	75564H716	FJY	3/12/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
134	75564H716	FJY	3/20/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
135	755F81809	OG	2/26/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
135	755F81809	OG	3/25/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
136	755H75144	BM	3/10/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
138	755L92450	RLO	3/11/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A

Exhibit 1 - Provider Defendants' False and Fraudulent Claims

Patient Number	Claim Number	Patient Initials	Date of Service	Billing Entity	Procedure	False E/M Code	False E/M Charge	False Fluoroscopy Code	False Fluoroscopy Charge	False Epidurography / Myelography Code	False Epidurography / Myelography Charge	False MRI Code	False MRI Charge
139	75583V677	CH	3/18/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
139	75583V677	CH	4/22/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
139	75583V677	CH	5/13/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
140	755Q27513	DK	3/25/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
141	75558B663	AR	3/26/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
142	75561R494	JA	8/6/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
143	755Q27513	RL	4/23/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
144	754M97212	DLP	4/30/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
145	06569R549	RRS	7/2/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
145	06569R549	RRS	7/16/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
146	75587Z749	MB	5/13/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
149	75569N733	PP	5/16/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
150	755J63688	BA	5/19/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
151	75557X370	MA	5/21/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
151	75557X370	MA	6/11/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
151	75557X370	MA	7/9/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
152	75635G564	GK	5/21/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
153	755J63688	JGA	6/2/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
154	756F93476	NMS	6/4/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
154	756F93476	NMS	7/2/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
155	755T38336	AS	6/9/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
156	75557X370	JA	6/11/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
156	75557X370	JA	7/9/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
156	75557X370	JA	8/13/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
157	75562L742	RR	6/11/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
157	75562L742	RR	7/2/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
157	75562L742	RR	7/31/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
158	75623P714	ADL	6/15/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
161	756F28973	SH	6/24/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
163	75410J497	RG	7/1/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
164	755Q44632	KJ	7/9/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
165	75557X370	BA	7/9/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
166	75557X370	JI	7/9/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
167	75600N744	KAW	7/15/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
167	75600N744	KAW	9/10/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
169	756B86796	VN	9/9/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
170	756R09183	JR	9/16/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
170	756R09183	JR	10/14/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
170	756R09183	JR	10/28/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
171	75689D083	MG	9/18/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
172	756L72927	DV	9/18/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
173	75698R742	VAL	9/23/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
174	55638J598	JG	9/24/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
174	55638J598	JG	10/9/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
174	55638J598	JG	10/22/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
175	55638J598	TG	11/5/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
175	55638J598	TG	11/19/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
175	55638J598	TG	12/3/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
176	75708M110	MR	9/29/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
177	75698R742	LS	10/1/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
181	75702R679	FR	11/4/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
182	75722L463	JB	11/4/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
182	75722L463	JB	12/2/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
182	75722L463	JB	12/30/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A

Exhibit 1 - Provider Defendants' False and Fraudulent Claims

Patient Number	Claim Number	Patient Initials	Date of Service	Billing Entity	Procedure	False E/M Code	False E/M Charge	False Fluoroscopy Code	False Fluoroscopy Charge	False Epidurography / Myelography Code	False Epidurography / Myelography Charge	False MRI Code	False MRI Charge
183	756V20631	EMZ	11/10/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00			72275	\$1,400.00	N/A	N/A
8	75433K195	MW	1/28/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00					N/A	N/A
24	757R04535	HH	2/25/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00					N/A	N/A
127	755L19891	CL	2/25/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00					N/A	N/A
161	756F28973	SH	6/2/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00					N/A	N/A
192	75629L329	LL	9/1/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar	99241-25	\$495.00					N/A	N/A
261	752H28911	GPD	7/9/2013	Orange County Pain Specialist	Transforaminal ESI - Lumbar			77003	\$500.00	72265	\$900.00	N/A	N/A
261	752H28911	GPD	7/30/2013	Orange County Pain Specialist	Transforaminal ESI - Lumbar			77003	\$500.00	72265	\$900.00	N/A	N/A
260	75247G406	AS	4/2/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$740.00	72275	\$960.00	N/A	N/A
260	75247G406	AS	5/8/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$740.00	72275	\$960.00	N/A	N/A
249	13260S318	TM	4/23/2013	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$500.00	72265-26	\$900.00	N/A	N/A
249	13260S318	TM	6/4/2013	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$500.00	72265-26	\$900.00	N/A	N/A
249	13260S318	TM	6/11/2013	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$500.00	72265-26	\$900.00	N/A	N/A
250	7521H7230	ROD	9/18/2013	Orange County Pain Specialist	Transforaminal ESI - Lumbar			77003	\$500.00	72265-26	\$900.00	N/A	N/A
250	7521H7230	ROD	10/16/2013	Orange County Pain Specialist	Transforaminal ESI - Lumbar			77003	\$500.00	72265-26	\$900.00	N/A	N/A
250	7521H7230	ROD	10/30/2013	Orange County Pain Specialist	Transforaminal ESI - Lumbar			77003	\$500.00	72265-26	\$900.00	N/A	N/A
251	752R48230	DL	10/16/2013	Orange County Pain Specialist	Transforaminal ESI - Lumbar			77003	\$500.00	72265-26	\$900.00	N/A	N/A
251	752R48230	DL	10/30/2013	Orange County Pain Specialist	Transforaminal ESI - Lumbar			77003	\$500.00	72265-26	\$900.00	N/A	N/A
251	752R48230	DL	11/13/2013	Orange County Pain Specialist	Transforaminal ESI - Lumbar			77003	\$500.00	72265-26	\$900.00	N/A	N/A
252	7518M2702	AC	10/30/2013	Orange County Pain Specialist	Transforaminal ESI - Lumbar			77003	\$500.00	72265-26	\$900.00	N/A	N/A
253	7522R7340	MA	10/30/2013	Orange County Pain Specialist	Transforaminal ESI - Lumbar			77003	\$500.00	72265-26	\$900.00	N/A	N/A
254	7522Z7359	SL	11/20/2013	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$500.00	72265-26	\$900.00	N/A	N/A
254	7522Z7359	SL	12/18/2013	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$500.00	72265-26	\$900.00	N/A	N/A
254	7522Z7359	SL	1/2/2014	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$500.00	72265-26	\$900.00	N/A	N/A
255	7525C7457	RG	12/18/2013	Orange County Pain Specialist	Transforaminal ESI - Lumbar			77003	\$500.00	72265-26	\$900.00	N/A	N/A
255	7525C7457	RG	1/2/2014	Orange County Pain Specialist	Transforaminal ESI - Lumbar			77003	\$500.00	72265-26	\$900.00	N/A	N/A
256	753C32936	JHG	1/8/2014	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$500.00	72265-26	\$900.00	N/A	N/A
256	753C32936	JHG	2/19/2014	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$500.00	72265-26	\$900.00	N/A	N/A
256	753C32936	JHG	3/5/2014	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$500.00	72265-26	\$900.00	N/A	N/A
257	75374P455	BG2	4/9/2014	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$500.00	72265-26	\$900.00	N/A	N/A
258	75454V049	REB	7/30/2014	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$500.00	72265-26	\$900.00	N/A	N/A
259	75455R712	RBA	8/12/2014	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$500.00	72265-26	\$900.00	N/A	N/A
264	75729Q716	ME	9/14/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			72141-26	\$250.00
262	75881N552	MM	1/31/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			72141-26; 72148-26	\$500.00
263	759N73902	CHN	2/14/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			77003	\$1,400.00			72141-26; 72148-26	\$500.00
96	75928H526	BL	3/21/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,700.00			N/A	N/A
265	755W84041	MR2	12/3/2015	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
266	75761R570	QM	4/14/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
266	75761R570	QM	4/28/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
266	75761R570	QM	5/12/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
267	757W27742	CM	5/5/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
267	757W27742	CM	5/25/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
267	757W27742	CM	6/15/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
268	75927H286	SG	10/5/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
268	75927H286	SG	10/19/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
268	75927H286	SG	11/17/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
269	55943B194	AO	10/26/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
269	55943B194	AO	11/21/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
269	55943B194	AO	12/7/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
270	759B93102	RED	11/1/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
270	759B93102	RED	11/22/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
271	758Q50571	JM	11/29/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
271	758Q50571	JM	4/4/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
272	75880G124	YT	12/6/2016	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A

Exhibit 1 - Provider Defendants' False and Fraudulent Claims

Patient Number	Claim Number	Patient Initials	Date of Service	Billing Entity	Procedure	False E/M Code	False E/M Charge	False Fluoroscopy Code	False Fluoroscopy Charge	False Epidurography / Myelography Code	False Epidurography / Myelography Charge	False MRI Code	False MRI Charge
272	75880G124	YT	1/10/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
272	75880G124	YT	1/24/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
273	75945Q176	CC	1/3/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			77003	\$1,400.00			N/A	N/A
273	75945Q176	CC	2/14/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
274	7500287Q6	MTT	1/4/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
275	55897T056	JRT	1/5/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			77003	\$1,400.00			N/A	N/A
275	55897T056	JRT	1/19/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			77003	\$1,400.00			N/A	N/A
275	55897T056	JRT	3/15/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
276	759G61258	JCR	1/5/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			77003	\$1,400.00			N/A	N/A
276	759G61258	JCR	5/4/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
276	759G61258	JCR	5/19/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
276	759G61258	JCR	5/31/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
277	758V35523	ND	1/19/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
278	75986C539	KB	1/19/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			77003	\$1,400.00			N/A	N/A
278	75986C539	KB	2/2/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			77003	\$1,400.00			N/A	N/A
279	75961G741	EYH	1/24/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
279	75961G741	EYH	3/14/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			77003	\$1,400.00			N/A	N/A
279	75961G741	EYH	6/27/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
280	750070850	MJ	1/25/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,700.00			N/A	N/A
280	750070850	MJ	2/8/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			77003	\$1,700.00			N/A	N/A
281	7508253D0	MH	2/1/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			77003	\$1,400.00			N/A	N/A
282	759M09210	CZ	2/1/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
283	7544W1128	QP	2/7/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			77003	\$1,400.00			N/A	N/A
283	7544W1128	CP	2/21/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			77003	\$1,400.00			N/A	N/A
283	7544W1128	CP	3/7/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			77003	\$1,400.00			N/A	N/A
284	75844Q349	LR	2/7/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
284	75844Q349	LR	2/21/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
284	75844Q349	LR	3/21/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
285	75872R069	JC	2/7/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
285	75872R069	JC	2/21/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
285	75872R069	JC	3/28/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
286	7502298C5	JP	2/8/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,600.00			N/A	N/A
286	7502298C5	JP	2/22/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,600.00			N/A	N/A
286	7502298C5	JP	3/9/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,600.00			N/A	N/A
286	7502298C5	JP	4/5/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			77003	\$1,600.00			N/A	N/A
287	759B72511	AG	2/9/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			77003	\$1,400.00			N/A	N/A
288	7505169F6	JRN	2/15/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
289	758R75188	AAJ	2/21/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
289	758R75188	AAJ	3/7/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
289	758R75188	AAJ	3/21/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
290	7504767L7	PT	3/1/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
290	7504767L7	PT	3/20/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
291	750061429	DV	3/8/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			77003	\$1,400.00			N/A	N/A
292	7505567N9	DP	3/14/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			77003	\$1,400.00			N/A	N/A
292	7505567N9	DP	4/4/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			77003	\$1,400.00			N/A	N/A
292	7505567N9	DP	4/18/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			77003	\$1,400.00			N/A	N/A
293	759F71266	JD	3/14/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			77003	\$1,400.00			N/A	N/A
293	759F71266	JD	3/28/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			77003	\$1,600.00			N/A	N/A
294	7501455Q2	SW	3/16/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,700.00			N/A	N/A
294	7501455Q2	SW	4/14/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,700.00			N/A	N/A
294	7501455Q2	SW	5/3/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,700.00			N/A	N/A
295	75967Q731	JAT	3/16/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			77003	\$1,400.00			N/A	N/A
296	7507120G7	JM	3/21/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			77003	\$1,700.00			N/A	N/A
296	7507120G7	JM	5/2/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			77003	\$1,700.00			N/A	N/A

Exhibit 1 - Provider Defendants' False and Fraudulent Claims

Patient Number	Claim Number	Patient Initials	Date of Service	Billing Entity	Procedure	False E/M Code	False E/M Charge	False Fluoroscopy Code	False Fluoroscopy Charge	False Epidurography / Myelography Code	False Epidurography / Myelography Charge	False MRI Code	False MRI Charge
297	75981V485	MD	3/23/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
297	75981V485	MD	4/5/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
297	75981V485	MD	5/4/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
298	7504198K4	JCM	3/28/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			77003	\$1,600.00			N/A	N/A
299	7506089W6	RT	4/4/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
299	7506089W6	RT	4/18/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
300	75985R944	YR	4/4/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,600.00			N/A	N/A
300	75985R944	YR	4/25/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,600.00			N/A	N/A
301	550534127	GV	4/11/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,600.00			N/A	N/A
302	7505918K9	JM	4/11/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
302	7505918K9	JM	5/2/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
302	7505918K9	JM	6/13/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
303	759122428	AG	4/11/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,600.00			N/A	N/A
303	759122428	AG	5/2/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,600.00			N/A	N/A
304	759574226	DC	4/12/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,600.00			N/A	N/A
304	759574226	DC	5/2/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,600.00			N/A	N/A
304	759574226	DC	5/16/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,600.00			N/A	N/A
305	7504784G6	MM	4/13/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			77003	\$1,600.00			N/A	N/A
306	7506111H3	AT	4/25/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
307	7504553P0	AB	5/2/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			77003	\$1,400.00			N/A	N/A
307	7504553P0	AB	5/23/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			77003	\$1,400.00			N/A	N/A
308	7508058X3	TK	5/3/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
308	7508058X3	TK	6/26/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
308	7508058X3	TK	7/26/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
309	7510309F1	EH	5/3/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			77003	\$1,400.00			N/A	N/A
310	7501168D1	CB	5/4/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			77003	\$1,400.00			N/A	N/A
311	759R74309	GH	5/9/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
312	759W32487	CGS	5/9/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			77003	\$1,400.00			N/A	N/A
312	759W32487	CGS	6/13/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			77003	\$1,400.00			N/A	N/A
313	7505251W9	LR	5/12/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			77003	\$1,400.00			N/A	N/A
313	7505251W9	LR	5/25/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			77003	\$1,400.00			N/A	N/A
314	7510692N6	DB	5/19/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
314	7510692N6	DB	6/1/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
314	7510692N6	DB	6/15/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
314	7510692N6	DB	6/29/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			77003	\$1,400.00			N/A	N/A
315	759T44938	HV	5/19/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
316	758D16294	MD	5/23/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
317	75990T484	JA	5/23/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
317	75990T484	JA	6/20/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
317	75990T484	JA	7/25/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
318	7508988J2	CB	5/25/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			77003	\$1,400.00			N/A	N/A
319	7506314P9	RS	5/30/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			77003	\$1,400.00			N/A	N/A
320	759X05829	KP	5/30/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
321	7506007P7	AO	6/13/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			77003	\$1,400.00			N/A	N/A
322	7508575P2	OEG	6/13/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			77003	\$1,400.00			N/A	N/A
323	7506839G7	JH	6/21/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
323	7506839G7	JH	7/6/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
323	7506839G7	JH	9/19/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
324	7505904M7	RG	6/27/2017	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			77003	\$1,400.00			N/A	N/A
325	75961J433	JP	7/26/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
325	75961J433	JP	8/18/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
325	75961J433	JP	9/29/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003	\$1,400.00			N/A	N/A
246	7504415C0	CG	3/9/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003-26	\$1,400.00			N/A	N/A
246	7504415C0	CG	4/12/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003-26	\$1,400.00			N/A	N/A

Exhibit 1 - Provider Defendants' False and Fraudulent Claims

Patient Number	Claim Number	Patient Initials	Date of Service	Billing Entity	Procedure	False E/M Code	False E/M Charge	False Fluoroscopy Code	False Fluoroscopy Charge	False Epidurography / Myelography Code	False Epidurography / Myelography Charge	False MRI Code	False MRI Charge
247	559B85183	SL	3/21/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003-26	\$1,700.00			N/A	N/A
248	5507734Q2	TP	6/21/2017	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar			77003-26	\$1,400.00			N/A	N/A
327	7516P0354	NR	8/20/2013	Orange County Pain Specialist	Interlaminar ESI - Cervical			N/A	N/A	72240	\$900.00	N/A	N/A
328	7522R7340	FG	10/23/2013	Orange County Pain Specialist	Interlaminar ESI - Cervical			N/A	N/A	72240	\$900.00	N/A	N/A
329	7523Z1742	MD	11/6/2013	Orange County Pain Specialist	Interlaminar ESI - Cervical			N/A	N/A	72240	\$900.00	N/A	N/A
330	7524J8610	RL	12/11/2013	Orange County Pain Specialist	Interlaminar ESI - Cervical			N/A	N/A	72240	\$900.00	N/A	N/A
330	7524J8610	RL	12/18/2013	Orange County Pain Specialist	Interlaminar ESI - Cervical			N/A	N/A	72240	\$900.00	N/A	N/A
330	7524J8610	RL	2/5/2014	Orange County Pain Specialist	Interlaminar ESI - Cervical			N/A	N/A	72240	\$900.00	N/A	N/A
331	7524K7685	YM	12/18/2013	Orange County Pain Specialist	Interlaminar ESI - Cervical			N/A	N/A	72240	\$900.00	N/A	N/A
331	7524K7685	YM	1/15/2014	Orange County Pain Specialist	Interlaminar ESI - Cervical			N/A	N/A	72240	\$900.00	N/A	N/A
260	75247G406	AS	4/23/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			N/A	N/A	72275	\$960.00	N/A	N/A
260	75247G406	AS	11/4/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			N/A	N/A	72275	\$960.00	N/A	N/A
266	75761R570	QM	1/14/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			N/A	N/A	72275	\$1,400.00	N/A	N/A
266	75761R570	QM	2/25/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			N/A	N/A	72275	\$1,400.00	N/A	N/A
266	75761R570	QM	3/10/2016	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			N/A	N/A	72275	\$1,400.00	N/A	N/A
326	756V20631	JM	10/27/2015	Sonny Rubin, M.D.	Interlaminar ESI - Cervical			N/A	N/A	72275	\$1,400.00	N/A	N/A
259	75455R712	RBA	9/9/2014	Sonny Rubin, M.D.	Transforaminal ESI - Lumbar					72275	\$1,400.00	N/A	N/A
242	75843J146	MF	9/8/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141	\$250.00
8	75433K195	MW	7/30/2014	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
9	75638B637	CH	6/30/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
9	75638B637	CH	8/5/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
10	755Z56069	JL	7/29/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
11	75644T689	CL	7/29/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$750.00
12	75687M507	MF	8/31/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
13	756Z87805	KL	11/17/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
14	75497R001	TS	9/17/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
14	75497R001	TS	11/11/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
15	756X16769	UCE	11/17/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
16	75713T547	EP	12/3/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
17	75709T727	VG	11/17/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
18	757J55666	SEN	12/30/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
19	557G62129	TT	1/5/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
20	75734X812	LTM	1/6/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
21	75769W207	BG	1/6/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
22	75686T449	JB	12/8/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
23	755R06320	GH	2/11/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
24	757R04535	HH	1/6/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
25	757G06086	AH	12/2/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
26	75617G446	GM	12/30/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
27	476V07898	AB	9/29/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
28	757M71503	JA	1/12/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
29	756H77560	KV	12/9/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
30	757Q20978	DS	1/13/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
31	757B48569	JAP	11/12/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
32	75773K358	BR	1/19/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
33	757Q16188	LB	1/28/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
34	757F12397	JC	11/24/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
35	75719G082	JQT	2/10/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
36	75665H233	APL	1/8/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
37	75804T729	MK	2/10/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
38	757Q09675	JB	3/2/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
39	756M09484	LEZ	7/16/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
41	757K89301	CE	3/4/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
42	757S05252	ME	3/15/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00

Exhibit 1 - Provider Defendants' False and Fraudulent Claims

Patient Number	Claim Number	Patient Initials	Date of Service	Billing Entity	Procedure	False E/M Code	False E/M Charge	False Fluoroscopy Code	False Fluoroscopy Charge	False Epidurography / Myelography Code	False Epidurography / Myelography Charge	False MRI Code	False MRI Charge
43	757Q35297	AR	4/12/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
44	753V06166	MSC	3/31/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
45	757R81476	ISV	4/14/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
46	75792T184	JJM	3/15/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
46	75792T184	JJM	4/26/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
47	75754R901	NF	2/11/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
50	755L32152	SGJ	7/28/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$750.00
51	75792P321	OPC	5/16/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
56	758F91639	KRW	5/4/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
57	7545F4002	JCE	4/28/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
62	557N78871	RT	4/12/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
64	757R00916	FSC	1/22/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
65	758L69803	AA	8/12/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
66	757T79355	MWH	3/17/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
68	75878W139	KYD	7/5/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
99	55375G777	RM	7/30/2014	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
106	753M95392	CDL	2/27/2015	Orange County Pain Specialist	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
106	753M95392	CDL	5/6/2015	Orange County Pain Specialist	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
108	753C32936	ILC	12/18/2013	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
110	7530F0412	BBB	6/25/2014	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
111	75464S551	JD	7/23/2014	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
113	753W61577	MT	10/1/2014	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
114	75525H115	GN	11/13/2014	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
115	754X36318	KH	11/12/2014	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
116	754Q62842	SL	11/19/2014	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
117	754P72532	RKO	11/6/2014	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
118	75366L850	KH	10/8/2014	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
119	75525T292	BN	12/11/2014	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
120	75538M701	DAD	12/16/2014	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
122	75528D971	CM	12/10/2014	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
123	754Z73562	BM	12/11/2014	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
124	7534K0619	SD	1/6/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
125	7536M3673	SBM	12/13/2014	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
126	75484W073	CB	11/11/2014	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
127	755L19891	CL	12/3/2014	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
127	755L19891	CL	1/22/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
128	755L19891	RDG	12/3/2014	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
128	755L19891	RDG	1/22/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
129	75453X796	DS	12/10/2014	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
129	75453X796	DS	3/26/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
130	755L48680	BM	1/28/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
131	755B30793	AR	1/27/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
133	757B50105	JS	1/21/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
134	75564H716	FJY	2/24/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
135	755F81809	OG	2/25/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
136	755H75144	BM	2/10/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
137	753W03339	NM	2/23/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
138	755L92450	RLO	2/23/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
139	75583V677	CH	3/9/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
140	755Q27513	DK	2/25/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
141	75558B663	AR	3/18/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
142	75561R494	JA	2/23/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
143	755Q27513	RL	4/8/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
144	754M97212	DLP	3/9/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00

Exhibit 1 - Provider Defendants' False and Fraudulent Claims

Patient Number	Claim Number	Patient Initials	Date of Service	Billing Entity	Procedure	False E/M Code	False E/M Charge	False Fluoroscopy Code	False Fluoroscopy Charge	False Epidurography / Myelography Code	False Epidurography / Myelography Charge	False MRI Code	False MRI Charge
145	06569R549	RRS	5/5/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
146	75587Z749	MB	4/1/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
146	75587Z749	MB	4/22/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
148	555S02546	RAS	4/23/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
149	75569N733	PP	1/13/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
150	755J63688	BA	5/5/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
151	75557X370	MA	4/30/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
152	75635G564	GK	5/15/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
153	755J63688	JGA	5/5/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
154	756F93476	NMS	6/3/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
155	755T38336	AS	4/8/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
156	75557X370	JA	4/30/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
156	75557X370	JA	5/15/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
157	75562L742	RR	6/4/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
158	75623P714	ADL	5/5/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
159	376F12815	JF	6/2/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
160	75377J825	AJ	8/27/2014	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
161	756F28973	SH	5/28/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
162	75623P714	MR	5/5/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
162	75623P714	MR	6/2/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
163	75410J497	RG	6/4/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
164	755Q44632	KJ	6/24/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
165	75557X370	BA	7/2/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
166	75557X370	JI	7/2/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
167	75600N744	KAW	2/25/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
168	756H69305	SR	5/20/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
169	756B86796	VN	8/19/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
170	756R09183	JR	8/31/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
171	75689D083	MG	8/5/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
172	756L72927	DV	8/13/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
173	75698R742	VAL	8/31/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
174	55638J598	JG	9/15/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
175	55638J598	TG	9/15/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
176	75708M110	MR	9/15/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
177	75698R742	LS	8/31/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
178	75640S322	MH	7/28/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
179	536G98553	ST	9/30/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
180	75609S410	AM	7/8/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
181	75702R679	FR	10/14/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
182	75722L463	JB	10/21/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
183	756V20631	EMZ	10/27/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
184	5528N9178	AH	3/9/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
186	75711V841	PO	10/27/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
192	75629L329	LL	8/11/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
193	75646Q957	CA	10/14/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
194	75640S322	AH	9/22/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
195	757F12721	RG	12/2/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
196	756M44598	GRS	12/8/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
197	75696H958	JAU	10/27/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
197	75696H958	JAU	12/15/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
198	75561K663	KC	12/29/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
199	75794D102	AT	12/10/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
200	756239487	MZ	12/29/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
201	75578H927	CM	12/9/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00

Exhibit 1 - Provider Defendants' False and Fraudulent Claims

Patient Number	Claim Number	Patient Initials	Date of Service	Billing Entity	Procedure	False E/M Code	False E/M Charge	False Fluoroscopy Code	False Fluoroscopy Charge	False Epidurography / Myelography Code	False Epidurography / Myelography Charge	False MRI Code	False MRI Charge
202	757J41207	BTW	11/17/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
203	7506689Q5	SC	12/29/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
204	067L16177	LCB	1/20/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
205	755L35190	IV	12/2/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
206	75752N047	IC	1/22/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
207	757N62847	MM	1/28/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
208	757L94922	JCR	1/14/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
209	757M62036	JM	2/3/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
210	757S63684	JL	3/3/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
211	757G34868	SO	10/27/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
212	757L12369	RR	2/23/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
213	757P08938	MC	2/23/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
214	75792T184	CR	3/15/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
215	757R81476	RVG	2/17/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
216	75800V509	MH2	2/23/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
217	75800V509	SH	2/23/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
218	75738T769	YN	3/15/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
218	75738T769	YN	4/12/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
219	757T99720	MV	4/12/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
220	757L12369	SW	2/24/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
221	55850P069	RS	5/16/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
222	75820Q402	MIP	5/11/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
224	7541V0713	GG	1/14/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
226	75756L357	RB	3/3/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
227	758F71031	TM	5/18/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
228	757C47175	JH	3/18/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
239	55698D079	EH	2/25/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
258	75454V049	REB	7/24/2014	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$250.00
259	75455R712	RBA	7/22/2014	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
260	75247G406	AS	5/13/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$100.00
326	756V20631	JM	9/22/2015	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	76140	\$500.00
49	75799C690	JC	6/1/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26	\$250.00
53	55836W137	AK	5/26/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26	\$250.00
54	75833Q731	BW	5/31/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26	\$250.00
55	75887C540	AN	6/30/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26	\$250.00
58	758K96527	AN	6/23/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26	\$250.00
60	75870C872	CL	7/8/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26	\$250.00
61	75896D928	NB	10/26/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26	\$250.00
63	75889J702	RM	8/10/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26	\$250.00
67	75914X651	DP	8/25/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26	\$250.00
70	7546R7547	OG	8/30/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26	\$250.00
71	758F48667	ST	9/20/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26	\$250.00
74	75956N249	AH	10/4/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26	\$250.00
77	757Q09224	ES	8/8/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26	\$250.00
78	75784Z590	RR	10/11/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26	\$250.00
79	75889G502	JS	8/8/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26	\$250.00
80	058L56255	BD	6/2/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26	\$250.00
89	2000660W9	RC	11/28/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26	\$500.00
91	75947L308	TJ	11/1/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26	\$250.00
92	75978R412	MM	12/22/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26	\$250.00
93	75866S556	MA	6/10/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26	\$250.00
95	759C39978	JM	1/31/2017	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26	\$250.00
223	756L75752	DP	6/7/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26	\$250.00
225	75839T836	SF	4/20/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26	\$250.00

Exhibit 1 - Provider Defendants' False and Fraudulent Claims

Patient Number	Claim Number	Patient Initials	Date of Service	Billing Entity	Procedure	False E/M Code	False E/M Charge	False Fluoroscopy Code	False Fluoroscopy Charge	False Epidurography / Myelography Code	False Epidurography / Myelography Charge	False MRI Code	False MRI Charge
227	758F71031	TM	6/1/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26	\$250.00
229	758H94495	JF	6/2/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26	\$250.00
230	75807K927	FM	5/31/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26	\$250.00
231	758J13107	NB	5/27/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26	\$250.00
232	75764R573	EZ	6/28/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26	\$250.00
233	75889J702	AM	8/10/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26	\$250.00
234	53868T826	LMS	7/12/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26	\$250.00
235	75767D816	GP	8/16/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26	\$250.00
236	55861G050	MD	8/26/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26	\$250.00
237	75945Q176	JV	9/13/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26	\$250.00
241	75974G966	SE	11/17/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26	\$250.00
72	75956N249	VW	10/4/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26; 72148-26	\$500.00
81	758L49343	DP	11/10/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26; 72148-26	\$500.00
82	55998W427	DK	11/16/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26; 72148-26	\$500.00
83	75958T443	AR	11/11/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26; 72148-26	\$500.00
85	759C73066	SD	11/15/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26; 72148-26	\$500.00
88	75944K813	BH	11/11/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26; 72148-26	\$500.00
90	7500739B8	AW	11/2/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26; 72148-26	\$500.00
94	12934Z744	YE	11/8/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26; 72148-26	\$500.00
96	75928H526	BL	11/22/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26; 72148-26	\$500.00
240	75927H772	VL	11/9/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26; 72148-26	\$500.00
243	759D38688	MR	11/22/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26; 72148-26	\$500.00
238	759B46125	MR	10/25/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72141-26; 73223-26	\$500.00
74	75956N249	AH	10/11/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72148-26	\$250.00
75	75958T443	JO	10/14/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72148-26	\$250.00
76	55964H134	LL	10/13/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72148-26	\$250.00
80	058L56255	BD	10/6/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72148-26	\$250.00
84	75954M068	KC	11/15/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72148-26	\$250.00
86	75973C093	DA	11/15/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72148-26	\$250.00
87	75992X809	AJ	12/7/2016	Sonny Rubin, M.D.	MRI Only	N/A	N/A	N/A	N/A	N/A	N/A	72148-26	\$250.00

Exhibit 2

Exhibit 2 - ASC Defendants' False and Fraudulent Claims

Patient Number	Claim Number	Patient Initials	Date of Service	Surgery Center	Procedure	False Myelography Code	False Myelography Charge	False Fluoroscopy Code	False Fluoroscopy Charge
7	75637Z850	JCA	5/24/2016	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
37	75804T729	MK	8/12/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
42	757S05252	ME	7/12/2016	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
43	757Q35297	AR	4/26/2016	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
46	75792T184	JJM	5/3/2016	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
46	75792T184	JJM	5/31/2016	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
48	75818M243	PG	5/24/2016	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
48	75818M243	PG	6/14/2016	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
50	755L32152	SGJ	6/7/2016	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
52	75870M875	PRS	7/5/2016	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
52	75870M875	PRS	7/19/2016	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
53	55836W137	AK	9/7/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
55	75887C540	AN	9/30/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
55	75887C540	AN	10/12/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
56	758F91639	KRW	8/12/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
56	758F91639	KRW	8/26/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
57	7545F4002	JCE	8/10/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
57	7545F4002	JCE	8/24/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
59	75903B729	JV	8/12/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
60	75870C872	CL	8/16/2016	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
62	557N78871	RT	8/23/2016	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
63	75889J702	RM	8/24/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
63	75889J702	RM	9/14/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
63	75889J702	RM	9/28/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
64	757R00916	FSC	8/26/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
64	757R00916	FSC	9/15/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
64	757R00916	FSC	9/28/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
65	758L69803	AA	8/26/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
65	758L69803	AA	9/22/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
65	758L69803	AA	10/6/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
66	757T79355	MWH	8/31/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
66	757T79355	MWH	10/12/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
66	757T79355	MWH	10/27/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
67	75914X651	DCP	9/7/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
67	75914X651	DCP	9/21/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
67	75914X651	DCP	10/5/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
68	75878W139	KYD	9/13/2016	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
69	758P54703	CCH	9/15/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
69	758P54703	CCH	10/20/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
70	7546R7547	OAG	9/20/2016	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
70	7546R7547	OAG	10/11/2016	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
70	7546R7547	OAG	10/25/2016	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
71	758F48667	ST	9/30/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
71	758F48667	ST	10/12/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00

Exhibit 2 - ASC Defendants' False and Fraudulent Claims

Patient Number	Claim Number	Patient Initials	Date of Service	Surgery Center	Procedure	False Myelography Code	False Myelography Charge	False Fluoroscopy Code	False Fluoroscopy Charge
71	758F48667	ST	10/26/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
72	75956N249	VW	10/11/2016	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
72	75956N249	VW	11/8/2016	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
72	75956N249	VW	11/29/2016	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
73	757X26691	MH	10/12/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
73	757X26691	MH	10/27/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
73	757X26691	MH	11/17/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
74	75956N249	AMH	10/18/2016	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
74	75956N249	AMH	11/8/2016	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
74	75956N249	AMH	1/10/2017	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
75	75958T443	JO	10/26/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
75	75958T443	JO	11/10/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
75	75958T443	JO	12/7/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
76	55964H134	LL	10/27/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
77	757Q09224	ES	11/2/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
77	757Q09224	ES	11/16/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
78	75784Z590	RR	11/8/2016	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
79	75889G502	JS	11/10/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
80	058L56255	BD	11/16/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
80	058L56255	BD	11/30/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
80	058L56255	BD	12/14/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
81	758L49343	DMP	11/17/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
81	758L49343	DMP	12/1/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
81	758L49343	DMP	12/22/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
82	55998W427	DK	11/17/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
82	55998W427	DK	12/1/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
83	75958T443	AR	11/29/2016	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
84	75954M068	KDL	11/29/2016	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
85	759C73066	SD	12/13/2016	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
86	75973C093	DA	12/21/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
86	75973C093	DA	1/4/2017	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
86	75973C093	DA	1/25/2017	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
87	75992X809	AJ	12/21/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
87	75992X809	AJ	1/11/2017	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
87	75992X809	AJ	1/26/2017	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
90	750073988	AW	1/5/2017	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
96	75928H526	BL	3/21/2017	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
245	55898M629	FJ	6/28/2017	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
245	55898M629	FJ	7/26/2017	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
246	7504415C0	CG	3/9/2017	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
246	7504415C0	CG	4/12/2017	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
247	559885183	SL	3/21/2017	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
248	5507734Q2	TP	6/21/2017	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
262	75881N552	MM	1/31/2017	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00

Exhibit 2 - ASC Defendants' False and Fraudulent Claims

Patient Number	Claim Number	Patient Initials	Date of Service	Surgery Center	Procedure	False Myelography Code	False Myelography Charge	False Fluoroscopy Code	False Fluoroscopy Charge
264	75729Q716	ME	9/14/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
268	75927H286	SG	10/5/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
268	75927H286	SG	10/19/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
268	75927H286	SG	11/17/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
269	55943B194	AO	10/26/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
269	55943B194	AO	11/21/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
269	55943B194	AO	12/7/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
270	759B93102	RED	11/1/2016	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
270	759B93102	RED	11/22/2016	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
271	758Q50571	JM	11/29/2016	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
271	758Q50571	JM	4/4/2017	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
272	75880G124	YT	12/6/2016	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
272	75880G124	YT	1/10/2017	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
272	75880G124	YT	1/24/2017	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
273	75945Q176	CC	2/14/2017	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
274	7500287Q6	MTT	1/4/2017	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
276	759G61258	JCR	5/4/2017	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
276	759G61258	JCR	5/19/2017	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
276	759G61258	JCR	5/31/2017	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
277	758V35523	ND	1/19/2017	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
279	75961G741	EYH	1/24/2017	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
279	75961G741	EYH	6/27/2017	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
282	759M09210	QZ	2/1/2017	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
284	75844Q349	LR	2/7/2017	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
284	75844Q349	LR	2/21/2017	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
285	75872R069	JC	2/7/2017	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
285	75872R069	JC	2/21/2017	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
285	75872R069	JC	3/28/2017	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
286	7502298C5	JP	2/8/2017	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
286	7502298C5	JP	2/22/2017	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
286	7502298C5	JP	3/9/2017	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
288	7505169F6	JRN	2/15/2017	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
289	758R75188	AAJ	2/21/2017	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
289	758R75188	AAJ	3/7/2017	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
289	758R75188	AAJ	3/21/2017	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
290	7504767L7	PT	3/1/2017	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
290	7504767L7	PT	3/20/2017	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
294	7501455Q2	SW	3/16/2017	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
294	7501455Q2	SW	4/14/2017	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
294	7501455Q2	SW	5/3/2017	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
297	75981V485	MD	3/23/2017	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
297	75981V485	MD	4/5/2017	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
297	75981V485	MD	5/4/2017	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
299	7506089W6	RT	4/4/2017	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00

Exhibit 2 - ASC Defendants' False and Fraudulent Claims

Patient Number	Claim Number	Patient Initials	Date of Service	Surgery Center	Procedure	False Myelography Code	False Myelography Charge	False Fluoroscopy Code	False Fluoroscopy Charge
299	7506089W6	RT	4/18/2017	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
300	75985R944	YR	4/4/2017	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
300	75985R944	YR	4/25/2017	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
301	550534127	GV	4/11/2017	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
302	7505918K9	JM	4/11/2017	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
302	7505918K9	JM	5/2/2017	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
302	7505918K9	JM	6/13/2017	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
303	759122428	AG	4/11/2017	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
303	759122428	AG	5/2/2017	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
304	759574226	DC	4/12/2017	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
304	759574226	DC	5/2/2017	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
304	759574226	DC	5/16/2017	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
306	7506111H3	AT	4/25/2017	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
308	7508058X3	TK	5/3/2017	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
308	7508058X3	TK	6/26/2017	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
308	7508058X3	TK	7/26/2017	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
311	759R74309	GH	5/9/2017	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
314	7510692N6	DB	5/19/2017	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
314	7510692N6	DB	6/1/2017	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
314	7510692N6	DB	6/15/2017	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
315	759T44938	HV	5/19/2017	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
316	758D16294	MD	5/23/2017	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
317	75990T484	JA	5/23/2017	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
317	75990T484	JA	6/20/2017	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
317	75990T484	JA	7/25/2017	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
320	759X05829	KP	5/30/2017	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
325	75961J433	JP	7/26/2017	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00	77003-TC	\$1,150.00
325	75961J433	JP	8/18/2017	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72265	\$850.00		
72	75956N249	VW	1/10/2017	Downey Interventional Procedural Center	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
81	758L49343	DMP	1/12/2017	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
81	758L49343	DMP	1/26/2017	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
81	758L49343	DMP	2/9/2017	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
88	75944K813	BH	1/4/2017	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
88	75944K813	BH	1/25/2017	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
88	75944K813	BH	2/20/2017	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
89	2000660W9	RC	1/4/2017	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
89	2000660W9	RC	1/18/2017	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
92	75978R412	MM	1/12/2017	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
93	758665556	MMA	1/23/2017	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
94	12934Z744	YE	1/24/2017	Downey Interventional Procedural Center	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
94	12934Z744	YE	2/21/2017	Downey Interventional Procedural Center	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
94	12934Z744	YE	3/14/2017	Downey Interventional Procedural Center	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
95	759C39978	JOM	2/7/2017	Downey Interventional Procedural Center	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
96	75928H526	BL	2/14/2017	Downey Interventional Procedural Center	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00

Exhibit 2 - ASC Defendants' False and Fraudulent Claims

Patient Number	Claim Number	Patient Initials	Date of Service	Surgery Center	Procedure	False Myelography Code	False Myelography Charge	False Fluoroscopy Code	False Fluoroscopy Charge
244	55898M629	MV	10/13/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72240	\$850.00	77003-TC	\$1,150.00
244	55898M629	MV	11/10/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72240	\$850.00	77003-TC	\$1,150.00
244	55898M629	MV	11/21/2016	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72240	\$850.00	77003-TC	\$1,150.00
245	55898M629	FJ	1/11/2017	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72240	\$850.00	77003-TC	\$1,150.00
245	55898M629	FJ	2/15/2017	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72240	\$850.00	77003-TC	\$1,150.00
245	55898M629	FJ	3/1/2017	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72240	\$850.00	77003-TC	\$1,150.00
263	759N73902	CHN	2/14/2017	Downey Interventional Procedural Center	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
273	75945Q176	CC	1/3/2017	Downey Interventional Procedural Center	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
275	55897T056	JRT	1/5/2017	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
275	55897T056	JRT	1/19/2017	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
275	55897T056	JRT	3/15/2017	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72240	\$850.00	77003-TC	\$1,150.00
276	759G61258	JCR	1/5/2017	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
278	75986C539	KB	1/19/2017	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
278	75986C539	KB	2/2/2017	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
279	75961G741	EYH	3/14/2017	Downey Interventional Procedural Center	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
280	750070850	MJ	1/25/2017	Newport Institute of Minimally Invasive Surgery	Transforaminal ESI - Lumbar	72240	\$850.00	77003-TC	\$1,150.00
280	750070850	MJ	2/8/2017	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
281	7508253D0	MH	2/1/2017	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
283	7544W1128	CP	2/7/2017	Downey Interventional Procedural Center	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
283	7544W1128	CP	2/21/2017	Downey Interventional Procedural Center	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
283	7544W1128	CP	3/7/2017	Downey Interventional Procedural Center	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
284	75844Q349	LR	3/21/2017	Downey Interventional Procedural Center	Transforaminal ESI - Lumbar	72240	\$850.00	77003-TC	\$1,150.00
286	7502298C5	JP	4/5/2017	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
287	759B72511	AG	2/9/2017	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
291	7500614Z9	DV	3/8/2017	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
292	7505567N9	DP	3/14/2017	Downey Interventional Procedural Center	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
292	7505567N9	DP	4/4/2017	Downey Interventional Procedural Center	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
292	7505567N9	DP	4/18/2017	Downey Interventional Procedural Center	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
293	759F71266	JD	3/14/2017	Downey Interventional Procedural Center	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
293	759F71266	JD	3/28/2017	Downey Interventional Procedural Center	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
295	75967Q731	JAT	3/16/2017	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
296	7507120G7	JM	3/21/2017	Downey Interventional Procedural Center	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
296	7507120G7	JM	5/2/2017	Downey Interventional Procedural Center	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
298	7504198K4	JCM	3/28/2017	Downey Interventional Procedural Center	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
305	7504784G6	MM	4/13/2017	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
307	7504553P0	AB	5/2/2017	Downey Interventional Procedural Center	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
307	7504553P0	AB	5/23/2017	Downey Interventional Procedural Center	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
309	7510309F1	EH	5/3/2017	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
310	7501168D1	CB	5/4/2017	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
312	759W32487	CGS	5/9/2017	Downey Interventional Procedural Center	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
312	759W32487	CGS	6/13/2017	Downey Interventional Procedural Center	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
313	7505251W9	LR	5/12/2017	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
313	7505251W9	LR	5/25/2017	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
314	7510692N6	DB	6/29/2017	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00

Exhibit 2 - ASC Defendants' False and Fraudulent Claims

Patient Number	Claim Number	Patient Initials	Date of Service	Surgery Center	Procedure	False Myelography Code	False Myelography Charge	False Fluoroscopy Code	False Fluoroscopy Charge
318	7508988J2	CB	5/25/2017	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
319	7506314P9	RS	5/30/2017	Downey Interventional Procedural Center	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
322	7508575P2	OEG	6/13/2017	Downey Interventional Procedural Center	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
324	7505904M7	RG	6/27/2017	Downey Interventional Procedural Center	Interlaminar ESI - Cervical	72240	\$850.00	77003-TC	\$1,150.00
37	75804T729	MK	9/7/2016	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	N/A	N/A
37	75804T729	MK	9/22/2016	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	N/A	N/A
55	75887C540	AN	11/2/2016	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	N/A	N/A
55	75887C540	AN	11/16/2016	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	N/A	N/A
55	75887C540	AN	11/30/2016	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	N/A	N/A
67	75914X651	DCP	11/16/2016	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	N/A	N/A
67	75914X651	DCP	12/1/2016	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	N/A	N/A
67	75914X651	DCP	12/14/2016	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	N/A	N/A
80	058L56255	BD	9/28/2016	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	N/A	N/A
80	058L56255	BD	11/2/2016	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	N/A	N/A
89	2000660W9	RC	12/22/2016	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	N/A	N/A
93	758665556	MMA	9/28/2016	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	N/A	N/A
93	758665556	MMA	10/14/2016	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	N/A	N/A
209	757M62036	JM	9/29/2016	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	N/A	N/A
213	757P08938	MC	5/17/2016	Downey Interventional Procedural Center	Interlaminar ESI - Cervical	72240	\$850.00	N/A	N/A
214	75792T184	CR	8/9/2016	Downey Interventional Procedural Center	Interlaminar ESI - Cervical	72240	\$850.00	N/A	N/A
219	757T99720	MV	5/17/2016	Downey Interventional Procedural Center	Interlaminar ESI - Cervical	72240	\$850.00	N/A	N/A
219	757T99720	MV	5/31/2016	Downey Interventional Procedural Center	Interlaminar ESI - Cervical	72240	\$850.00	N/A	N/A
221	55850P069	RS	5/31/2016	Downey Interventional Procedural Center	Interlaminar ESI - Cervical	72240	\$850.00	N/A	N/A
223	756L75752	DP	8/10/2016	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	N/A	N/A
226	75756L357	RB	6/21/2016	Downey Interventional Procedural Center	Interlaminar ESI - Cervical	72240	\$850.00	N/A	N/A
226	75756L357	RB	8/10/2016	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	N/A	N/A
228	757C47175	JH	8/24/2016	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	N/A	N/A
232	75764R573	EBZ	8/9/2016	Downey Interventional Procedural Center	Interlaminar ESI - Cervical	72240	\$850.00	N/A	N/A
232	75764R573	EBZ	9/27/2016	Downey Interventional Procedural Center	Interlaminar ESI - Cervical	72240	\$850.00	N/A	N/A
232	75764R573	EBZ	12/6/2016	Downey Interventional Procedural Center	Interlaminar ESI - Cervical	72240	\$850.00	N/A	N/A
233	75889J702	AM	8/24/2016	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	N/A	N/A
233	75889J702	AM	9/14/2016	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	N/A	N/A
233	75889J702	AM	9/28/2016	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	N/A	N/A
234	53868T826	LMS	8/30/2016	Downey Interventional Procedural Center	Interlaminar ESI - Cervical	72240	\$850.00	N/A	N/A
235	75767D816	GP	8/30/2016	Downey Interventional Procedural Center	Interlaminar ESI - Cervical	72240	\$850.00	N/A	N/A
235	75767D816	GP	12/13/2016	Downey Interventional Procedural Center	Interlaminar ESI - Cervical	72240	\$850.00	N/A	N/A
236	55861G050	MD	9/8/2016	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	N/A	N/A
237	75945Q176	JV	10/4/2016	Downey Interventional Procedural Center	Interlaminar ESI - Cervical	72240	\$850.00	N/A	N/A
237	75945Q176	JV	10/18/2016	Downey Interventional Procedural Center	Interlaminar ESI - Cervical	72240	\$850.00	N/A	N/A
237	75945Q176	JV	11/1/2016	Downey Interventional Procedural Center	Interlaminar ESI - Cervical	72240	\$850.00	N/A	N/A
238	759B46125	MAR	11/15/2016	Downey Interventional Procedural Center	Interlaminar ESI - Cervical	72240	\$850.00	N/A	N/A
239	55698D079	EH	11/21/2016	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	N/A	N/A
240	75927H772	VL	12/1/2016	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	N/A	N/A
241	75974G966	SE	12/1/2016	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	N/A	N/A

Exhibit 2 - ASC Defendants' False and Fraudulent Claims

Patient Number	Claim Number	Patient Initials	Date of Service	Surgery Center	Procedure	False Myelography Code	False Myelography Charge	False Fluoroscopy Code	False Fluoroscopy Charge
241	75974G966	SE	12/21/2016	Newport Institute of Minimally Invasive Surgery	Interlaminar ESI - Cervical	72240	\$850.00	N/A	N/A
242	75843J146	MF	12/6/2016	Downey Interventional Procedural Center	Interlaminar ESI - Cervical	72240	\$850.00	N/A	N/A